



IMPERIAL TOBACCO NEW ZEALAND
124-130 Richmond Street, PO Box 39-400,
Petone, Wellington, New Zealand
Tel: +64 (0)4 587 1500
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email: Imperial@nz.imptob.com

CONFIDENTIAL

31 January 2017

The Director General of Health
Ministry of Health
P O Box 5013
WELLINGTON

By Email

Dear Sir

Enclosed please find the 2016 Calendar year returns for Imperial Tobacco New Zealand with reference to the Smoke-Free Environments Regulations 2007.

Yours faithfully,
IMPERIAL TOBACCO NEW ZEALAND

A handwritten signature in black ink, appearing to read 'Louise Evans McDonald'.

Louise Evans McDonald
CORPORATE AFFAIRS MANAGER

Encl

Form of Return – Schedule 5
Commercially of Confidence

SMOKE-FREE ENVIRONMENTS REGULATIONS 2007

Manufacturer or Importer: IMPERIAL TOBACCO NEW ZEALAND
("the Company")

Calendar Year: 2016

a) Tobacco Weight by Product Class:

Cigarettes	248,228 Kg
Cigarette Tobacco	133,969 Kg

b) Weight of Additives in Total by Product Class:

Cigarettes	115 Kg
Cigarette Tobacco	19,232 Kg

c) List of additives and quantities not exceeded for each brand and brand variants in this return:

See attached Schedule A. Schedule A has been compiled as set out in the attached copy Legal Firm Certificate.

It is declared based on the copies of Certificates attached to Schedule A that:

All additives used in tobacco in the brands and brand variants in the Calendar Year have been listed in Schedule A.

No additive has been named for inclusion in Schedule A unless that additive was used in such tobacco products.

No additive was used in the Company's brands and brand variants in excess of the "Quantity not exceeded" as specified in Schedule A.

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d) Brand information:

<u>Cigarettes</u>	Sales 2016 (000s)	Price (\$) per packet to consumer	Price (\$) per packet to consumer
Brand Name/Pack Size	Sales 2016 (000s)	01 Jan to 07 Aug 2016	08 Aug to 31 Dec 2016
JPS Black 20	33.00	22.00	22.00
Camel 20	2573.00	23.90	24.00
Horizon Filter 25	2051.00	28.20	28.30
Horizon Filter 30	8320.00	33.50	33.80
Horizon Filter 21	10864.00	22.80	22.90
Horizon Filter 40	5022.00	42.40	43.00
Horizon Mellow 25	1356.00	28.20	28.30
Horizon Mellow 30	6681.00	33.50	33.80
Horizon Mellow 21	4748.00	22.80	22.90
Horizon Mellow 40	2189.00	42.40	43.00
JPS Green 30	1705.00	30.50	30.50
JPS Green 26	820.00	26.50	26.00
JPS Full Taste Green 20	2955.00	20.20	20.40
JPS Green 21	3548.00	21.60	21.00
JPS Green 40	1541.00	40.00	40.00
Peter Stuyvesant Filter 20	2436.00	23.90	23.90
Peter Stuyvesant Filter 25	1201.00	29.90	29.90
Peter Stuyvesant Classic 20	7682.00	23.90	23.90
Peter Stuyvesant Classic 25	1287.00	29.90	29.90
Peter Stuyvesant Fine 20	493.00	23.90	23.90
West Red 30	37609.00	29.90	30.00
West Red 20	64554.00	19.90	20.00
Horizon Menthol 25	855.00	28.20	28.30
Horizon Menthol 30	6293.00	33.50	33.80
Horizon Menthol 21	4851.00	22.80	22.90
Horizon Menthol 40	1665.00	42.40	43.00
JPS Red 30	19386.00	30.50	30.50
JPS Red 26	17259.00	26.50	26.00
JPS Full Taste Red 20	44540.00	20.20	20.40
JPS Red 21	33783.00	21.60	21.00
JPS Red 40	9031.00	40.00	40.00
JPS Blue 30	3182.00	30.50	30.50
JPS Blue 26	1483.00	26.50	26.00
JPS Full Taste Blue 20	6231.00	20.20	20.40

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JPS Blue 21	13017.00	21.60	21.00
JPS Blue 40	2202.00	40.00	40.00
JPS Superkings Green 20	56.00	21.60	21.60
JPS Superkings Red 20	2395.00	21.60	21.60
JPS Superkings Blue 20	41.00	21.60	21.60
West Blue 20	2754.00	19.90	20.00
West Menthol 20	3536.00	19.90	20.00
Cigarette Tobacco (RYO)		Price (\$) per packet to consumer	Price (\$) per packet to consumer
Brand Name/Pack Size	Sales 2016 (KG's)	01 Jan to 07 Aug 2016	08 Aug to 31 Dec 2016
Riverstone 30g	53181.00	45.00	45.50
Riverstone Dark 30g	232.00	43.60	45.50
Riverstone 50g	15750.00	70.00	70.50
Riverstone 40g	15617.00	58.00	58.50
JPS Red 30g	14695.00	45.90	44.00
JPS Red 40g	1605.00	59.40	59.40
JPS Red 50g	2951.00	73.50	69.50
Horizon Mellow 30g	1925.00	46.50	46.90
Horizon Mellow 40g	735.00	63.40	63.40
Horizon Menthol 30g	4701.00	46.50	46.90
Horizon Menthol 40g	554.00	63.40	63.40
Horizon Menthol 50g	1052.00	76.50	77.00
Horizon Regular 30g	6073.00	46.50	46.90
Horizon Regular 40g	589.00	63.40	63.40
Horizon Regular 50g	1249.00	76.50	77.00
Pocket Edition 30g	6617.00	47.90	48.20
Pocket Edition 50g	3017.00	78.00	78.00
JPS Blue 30g	1677.00	45.90	44.00
Drum Classic 30g	2879.00	48.70	48.70
Drum Classic 50g	1521.00	79.00	79.00
Drum Rich 30g	1242.00	48.70	48.70
Drum Rich 50g	587.00	79.00	79.00
JPS Green 30g	3039.00	45.90	44.00
JPS Red Plus 30g	10075.00	43.60	44.00
Duty Free Cigarettes		Price (\$) per unit to consumer	Price (\$) per unit to consumer
Brand Name/Pack Size	Sales 2016 (000s)	01 Jan to 10 May 2016	11 May to 31 Dec 2016
DF - Camel 20/200	97.00	110.00	115.00

Form of Return – Schedule 5
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DF - Horizon Red 25 Twin Pack	184.00	25.99	27.00
DF – Horizon Red 25/200	243.00	98.99	105.00
DF - Horizon Mellow 25 Twin Pack	152.00	25.99	27.00
DF – Horizon Mellow 25/200	251.00	98.99	105.00
DF – Horizon Menthol 25 Twin Pack	140.00	25.99	27.00
DF – Horizon 25/200	175.00	98.99	105.00
DF – Peter Stuyvesant 25 Twin Pack	75.00	29.99	29.99
DF - Peter Stuyvesant King Size 20/200	65.00	105.00	109.00
DF – Peter Stuyvesant Classic 25 Twin Pack	129.00	29.99	29.99
DF - Peter Stuyvesant Classic King Size 20/200	105.00	105.00	109.00
DF - Peter Stuyvesant Fine King Size 20/200	18.00	105.00	109.00
DF – JPS Green 40	67.00	19.00	20.00
DF – JPS Red 40	123.00	19.00	20.00
DF – JPS Blue 40	116.00	19.00	20.00
DF – JPS Full Taste Blue 20	132.00		93.00
DF – JPS Full Taste Green 20	81.00		93.00
DF – JPS Full Taste Red 20	183.00		93.00
DF - JPS Green Super King Size 20/200	14.00	88.99	93.00
DF - JPS Red Super King Size 20/200	20.00	88.99	93.00
DF - JPS Blue Super King Size 20/200	33.00	88.99	93.00
DF - West Red 20/200	144.00	79.00	79.00
DF - West Rich Blue 20	75.00	79.00	79.00
Duty Free Cigarette Tobacco (RYO)		Price (\$) per unit to consumer	Price (\$) per unit to consumer
Brand Name/Pouch Size	Sales 2016 (KGs)	01 Jan to 10 May 2016	11 May to 31 Dec 2016
DF - Riverstone 50g (outer & singles)	445.00	140.00/27.99	145.00/29.00
DF - JPS Red 50g (outer & singles)	266.00	135.00/26.99	155.00/31.00
DF - Horizon Menthol 50g (outer & singles)	147.00	160.00/31.99	175.00/35.00
DF - Horizon Regular 50g (outer & singles)	259.00	160.00/31.99	175.00/35.00
DF - Pocket Edition 50g (outer & singles)	214.00	180.00/35.99	195.00/39.00
DF - Drum Regular 50g (outer & singles)	181.00	175.00/34.99	195.00/39.00
DF - Drum Blue 50g (outer & singles)	126.00	175.00/34.99	195.00/39.00

I **LOUISE EVANS McDONALD**, Corporate Affairs Manager Imperial Tobacco New Zealand, hereby certify that the information contained in and annexed to this Return is correct for the purposes of the Smoke Free Environments Regulations 2007, subject to the qualifications stated.

Dated at Petone this 31st day of January 2017.



Form of Return – Schedule 5
Commercially of Confidence

Schedule A


**ADDITIVES LIST
CIGARETTE TOBACCO**

**LEGAL FIRM CERTIFICATE
IN RESPECT OF CALENDAR YEAR TO 31 DECEMBER 2016**

The Law Firm of Covington & Burling LLP hereby certifies as follows:

1. The attached list of cigarette tobacco additives has been compiled from cigarette tobacco additive lists supplied by the Participating Companies.
2. The Participating Companies for the cigarette tobacco additives list are:
 - a. British American Tobacco
 - b. Imperial Tobacco
 - c. Scandinavian Tobacco Group
3. All of the cigarette tobacco additives listed by any Participating Company are shown on the attached list.
4. A copy of the certificate which accompanied the cigarette tobacco additives list of each Participating Company is annexed to the attached cigarette tobacco additives list.
5. In the attached cigarette tobacco additives list, the "Quantity Not Exceeded" is the highest "Quantity Not Exceeded" shown by any Participating Company in its cigarette tobacco additives list.

DATED this 17th day of January, 2017.


for Covington & Burling LLP

January 12, 2017

CIGARETTE TOBACCO

Ingredients in Cigarette Tobacco produced by British American Tobacco, Imperial Tobacco, and Scandinavian Tobacco Group, and sold in New Zealand in 2016

Prefix	Component Name	Quantity Not Exceeded (%)
	Water	21.5000
	Propylene Glycol	4.9837
	Glycerol	3.9666
	Invert sugar	3.2008
	Cellulose Fibre	1.7357
L-	Menthol	1.3000
	Ethanol	1.1626
	Rum, White Rum	0.7972
	Sodium benzoate	0.3374
	Potassium Sorbate	0.3059
	Vanillin	0.2353
	Cocoa Powder	0.2276
	Licorice Extract, Powder	0.2276
	Lactic Acid	0.1736
	Guar Gum	0.1447
	Carob Bean Extract	0.1338
	Acetic Acid	0.0852
	Spearmint Oil	0.0669
	Cocoa and cocoa products	0.0666
	Apricot Extract	0.0250
	Ethyl Acetate	0.0250
	Ethyl Butyrate	0.0250
	Piperonal	0.0250
	Rum Jamaica	0.0250
	Vanilla Extract	0.0250
	Benzaldehyde	0.0100
	Benzoic acid	0.0100
	Benzoin Gum Sumatra	0.0100

	Benzyl alcohol	0.0100
N-	Butyric Acid	0.0100
	Cane Sugar Extract	0.0100
	Carob bean extract	0.0100
L-	Carvone	0.0100
	Cocoa Extract	0.0100
	Coffee Extract	0.0100
	Ethyl Maltol	0.0100
	Ethyl Propionate	0.0100
	Ethyl Vanillin	0.0100
	Fig juice concentrate and/or extract	0.0100
	Geraniol	0.0100
	Isovaleric Acid	0.0100
	Lovage extract	0.0100
Para-	Methoxy Benzaldehyde	0.0100
	Methyl ethyl ketone	0.0100
Gamma-	Nonalactone	0.0100
	Peppermint oil	0.0100
	Raisin extract and/or concentrate	0.0100
	Valerian Root Oil	0.0100
	Acetoin	0.0010
	Citral	0.0010
	Dextrose	0.0010
	Ethyl Hexanoate	0.0010
	Ethyl Trans-2-Butenoate	0.0010
	Ethyl-2-Methyl Butyrate	0.0010
	Fenugreek extract	0.0010
Gamma-	Heptalactone	0.0010
2-	Heptanone	0.0010
	Hexyl Acetate	0.0010
	Isoamyl Acetate	0.0010
	Isobutyraldehyde	0.0010
	Isobutyric Acid	0.0010
	Linalool	0.0010
	Maltol	0.0010

Alpha-	Methyl Benzyl Acetate	0.0010
2-	Methyl Butyric Acid	0.0010
3-	Methyl Cyclopentane-1,2-Dione	0.0010
	Nutmeg oil	0.0010
	Plum Juice/Concentrate	0.0010
	Salicylaldehyde	0.0010
	Vanilla Oleoresin	0.0010
	Veratraldehyde	0.0010
	Whisky	0.0010
	Wine	0.0010
	Acetophenone	0.0001
2-	Acetylpyrazine	0.0001
	Acetylthiazole	0.0001
	Benzyl benzoate	0.0001
	Caramel	0.0001
Gamma-	Decalactone	0.0001
2,3-	Diethylpyrazine	0.0001
Para-	Dimethoxybenzene	0.0001
3,4-	Dimethyl-1,2-cyclopentadione	0.0001
3-(5- or 6-)	Dimethyl-2-ethylpyrazine	0.0001
2,5-	Dimethylpyrazine	0.0001
	Ethyl phenylacetate	0.0001
	Isoamyl phenylacetate	0.0001
4-	Methyl Acetophenone	0.0001
Para-	Methyl Anisole	0.0001
Gamma-	Octalactone	0.0001
	Phenylacetic acid	0.0001
	Styrax oil	0.0001
5,6,7,8-	Tetrahydroquinoxaline	0.0001
2,3,5,6-	Tetramethylpyrazine	0.0001
2,3,5-	Trimethyl Pyrazine	0.0001
Gamma-	Valerolactone	0.0001
	Nitrogen	0.0000

ADDITIVES LIST


Cigarette Tobacco

**PARTICIPATING COMPANY CERTIFICATE
IN RESPECT OF CALENDAR YEAR TO 31 DECEMBER 2016**

I, Dominic Turner, Regional Product Manager – AsPac, Operations, BAT
Aspac Service Centre Sdn Bhd hereby certifies in respect of the Company's attached product
class list of additives that:

- (a) All additives which have been or will be used in tobacco in the
Company's brands in the product class for sale in New Zealand have
been listed.
- (b) The Company has not included in the list any additive which was not
or will not be used in its brands in the product class for sale in New
Zealand.
- (c) No additive has been or will be used in the product class in excess of
the "Quantity not exceeded" as specified in the Company's list for that
product class.

DATED this 22 day of November, 2016.



**ADDITIVES LIST
CIGARETTE TOBACCO**

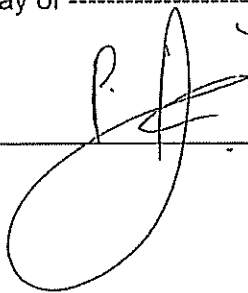
**PARTICIPATING COMPANY CERTIFICATE
IN RESPECT OF CALENDAR YEAR TO 31 DECEMBER 2016**

I, Phil Doherty, Product Science, Regulatory Reporting Manager, on behalf of Imperial Tobacco New Zealand (the "Company") hereby certify in respect of the Company's attached product class list of additives that:

- a) All additives which have been or will be used in tobacco in the Company's brands in the product class for sale in New Zealand have been listed.
- b) The Company has not included in the list any additive which was not or will not be used in its brands in the product class for sale in New Zealand.
- c) No additive has been or will be used in the product class in excess of the "Quantity not exceeded" as specified in the Company's list for that product class.

DATED this 4 day of January 2017

Signature

A handwritten signature in black ink, appearing to be 'P. Doherty', written over a horizontal line. The signature is stylized and cursive.



ADDITIVES LIST

CIGARETTE TOBACCO

PARTICIPATING COMPANY CERTIFICATE
IN RESPECT OF CALENDAR YEAR 1 JANUARY TO 31 DECEMBER 2016.

I, **Rob Zwarts, Executive Vice President** of Scandinavian Tobacco Group hereby certifies in respect of the Company's attached product class list of additives that:

- (a) all additives which have been or will be used in the tobacco in the Company's brand in the product class for sale in New Zealand have been listed;
- (b) the Company has not included in the list any additive, which has not or will not be used in its brand in the product class for sale in New Zealand;
- (c) no additive has been or will be used in the product class in excess of the "Quantity not exceeded" as specified in the Company' list for that product class.

January 5, 2017.

R.A. Zwarts

**ADDITIVES LIST
CIGARETTES**

**LEGAL FIRM CERTIFICATE
IN RESPECT OF CALENDAR YEAR TO 31 DECEMBER 2016**

The Law Firm of Covington & Burling LLP hereby certifies as follows:

1. The attached list of cigarettes additives has been compiled from cigarettes additive lists supplied by the Participating Companies.
2. The Participating Companies for the cigarettes additives list are:
 - a. British American Tobacco
 - b. Imperial Tobacco
 - c. JT International
3. All of the cigarettes additives listed by any Participating Company are shown on the attached list.
4. A copy of the certificate which accompanied the cigarettes additives list of each Participating Company is annexed to the attached cigarettes additives list.
5. In the attached cigarettes additives list, the "Quantity Not Exceeded" is the highest "Quantity Not Exceeded" shown by any Participating Company in its cigarettes additives list.

DATED this 17th day of January, 2017.


for Covington & Burling LLP

January 11, 2017

CIGARETTES

Ingredients in Cigarettes produced by British American Tobacco, Imperial Tobacco, and JT International, and sold in New Zealand in 2016

Prefix	Component Name	Quantity Not Exceeded (%)
	Water	12.8000
	Sugars/Sugar, Invert	3.7447
	Propylene Glycol	2.7666
	Glycerol	2.0270
L-	Menthol	0.9228
	Calcium Carbonate	0.5634
	Cocoa Extract, Mass, Shells, Powder	0.5058
	Cocoa Powder	0.3771
	Licorice Extract, Root Extract, Powder, Fluid	0.3618
	Cellulose	0.3521
	Carob Bean Extract, Powder, Oleoresin	0.2630
	Plum Extract, Juice, Concentrate/Prune Extract	0.2067
	Fenugreek Extract, Oil, Oleoresin	0.0250
	Molasses, Molasses Extract, Essence	0.0250
	Apricot Extract	0.0100
	Balsam Peru Oil, Resinoid, Oleoresin	0.0100
	Benzoin Gum Sumatra/Benzoin Resinoid and/or Resin Extract	0.0100
	Fig Extract	0.0100
	Guava Extract	0.0100
	Phenyl Carbinol	0.0100
	Piperonal	0.0100
	Raisin Extract, Juice, Concentrate	0.0100
	Sugar Cane Extract	0.0100
	Vanillin	0.0100
	Benzyl Carbinol	0.0010
	Caramel and/or Caramel Color	0.0010
	Coffee Extract	0.0010
	Coriander Seed Oil and/or Extract	0.0010
	Delta-Decalactone	0.0010
	Ethyl Butyrate	0.0010
	Ethyl Maltol	0.0010
	Ethyl Phenylacetate	0.0010
	Ethyl Vanillin	0.0010
	Mace Oil	0.0010
	Maltol	0.0010
	Methylcyclopentenolone	0.0010
	Peppermint Oil	0.0010

	Phenethyl Phenylacetate	0.0010
2,3,5,6-	Tetramethylpyrazine	0.0010
	Vanilla Extract and/or Oleoresin	0.0010
4-	(2,6,6-Trimethylcyclohex-1-Enyl)But-2-En-4-One (Beta-Damascone)	0.0001
4-	(2,6,6-Trimethylcyclohexa-1,3-Dienyl)But-2-En-4-One (Beta-Damascenone)	0.0001
	Acetanisole	0.0001
2-	Acetylpyrazine	0.0001
	Amyl Butyrate	0.0001
	Benzaldehyde	0.0001
	Benzyl Benzoate	0.0001
	Beta-Ionone	0.0001
	Butyric Acid	0.0001
	Cardamom Seed Oil	0.0001
	Cassia Bark Oil	0.0001
	Celery, Celery Seed Extract and/or Oil	0.0001
	Citric Acid	0.0001
	Clary Sage Oil	0.0001
	Davana Oil	0.0001
	Decanoic Acid	0.0001
4,5-	Dimethyl-3-Hydroxy-2,5-Dihydrofuranone	0.0001
3,7-	Dimethyl-6-Octenoic Acid	0.0001
2,5-	Dimethylpyrazine	0.0001
	Ethyl 10-Undecenoate	0.0001
	Ethyl 2-Methylbutyrate	0.0001
	Ethyl Acetate	0.0001
	Ethyl Hexanoate	0.0001
	Ethyl Isovalerate	0.0001
	Ethyl Lactate	0.0001
	Ethyl Oenanthatate	0.0001
	Ethyl Palmitate	0.0001
	Ethyl Propionate	0.0001
5-	Ethyl-3-Hydroxy-4-Methyl-2(5H)-Furanone	0.0001
	Gamma-Decalactone	0.0001
	Gamma-Nonalactone	0.0001
	Gentian Root Extract	0.0001
	Geraniol	0.0001
	Guaiacol	0.0001
	Hexanoic Acid	0.0001
	Hexyl Acetate	0.0001
Alpha-	Irone	0.0001
	Isoamyl Acetate	0.0001
	Isoamyl Isovalerate	0.0001
	Isoamyl Phenylacetate	0.0001

	Isovaleric Acid	0.0001
	Jasmine Extract	0.0001
	Labdanum Extract, Oil, Oleoresin	0.0001
	Linalyl Acetate	0.0001
	Lovage Extract and/or Oil	0.0001
	Methyl 2-Pyrrolyl Ketone	0.0001
4-	Methylacetophenone	0.0001
	Nutmeg Oil	0.0001
	Orange Oil, Distilled	0.0001
	Palmitic Acid	0.0001
	Phenylacetic Acid	0.0001
	Propenylguaethol	0.0001
	Propyl Acetate	0.0001
	Rose Extract and/or Oil	0.0001
	Tea Extract and/or Powder	0.0001
	Tomato Extract	0.0001
	Trans-Anethole	0.0001
2-	Tridecanone	0.0001
2,3,5-	Trimethylpyrazine	0.0001
Gamma-	Undecalactone	0.0001
	Vanilla Oleoresin	0.0001
	Veratraldehyde	0.0001
	Vetiver Oil	0.0001
	Carbon dioxide	0.0000
	Ethyl Alcohol	0.0000
	Isopentane	0.0000
	Nitrogen	0.0000

ADDITIVES LIST


Cigarettes

**PARTICIPATING COMPANY CERTIFICATE
IN RESPECT OF CALENDAR YEAR TO 31 DECEMBER 2016**

I, Dominic Turner, Regional Product Manager – AsPac, Operations, BAT
Aspac Service Centre Sdn Bhd hereby certifies in respect of the Company's attached product
class list of additives that:

- (a) All additives which have been or will be used in tobacco in the
Company's brands in the product class for sale in New Zealand have
been listed.
- (b) The Company has not included in the list any additive which was not
or will not be used in its brands in the product class for sale in New
Zealand.
- (c) No additive has been or will be used in the product class in excess of
the "Quantity not exceeded" as specified in the Company's list for that
product class.

DATED this 20 day of November, 2016.



**ADDITIVES LIST
CIGARETTES**

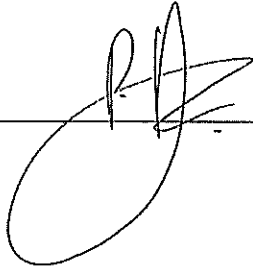
**PARTICIPATING COMPANY CERTIFICATE
IN RESPECT OF CALENDAR YEAR TO 31 DECEMBER 2016**

I, Phil Doherty, Product Science, Regulatory Reporting Manager, on behalf of Imperial Tobacco New Zealand (the "Company") hereby certify in respect of the Company's attached product class list of additives that:

- a) All additives which have been or will be used in tobacco in the Company's brands in the product class for sale in New Zealand have been listed.
- b) The Company has not included in the list any additive which was not or will not be used in its brands in the product class for sale in New Zealand.
- c) No additive has been or will be used in the product class in excess of the "Quantity not exceeded" as specified in the Company's list for that product class.

DATED this 4 day of January 2017

Signature

A handwritten signature in black ink, appearing to be 'P. Doherty', written over a horizontal line. The signature is stylized and includes a large loop at the bottom.



ADDITIVES LIST

CIGARETTES

**PARTICIPATING COMPANY CERTIFICATE
IN RESPECT OF CALENDAR YEAR TO 31 DECEMBER 2016**

I, Jochen Gorges, Director Product Compliance at JT International Germany GmbH, Diedenhofener Str. 30, D-54294 Trier, Germany (the "Company"), hereby certify in respect of the Company's attached product class list of additives that:

- (a) All additives which have been or will be used in tobacco in the Company's brands in the product class for sale in New Zealand have been listed.
- (b) The Company has not included in the list any additive which was not or will not be used in its brands in the product class for sale in New Zealand.
- (c) No additive has been or will be used in the product class in excess of the "Quantity not exceeded" as specified in the Company's list for that product class.

Dated at Trier, Germany this 11th day of January 2017

A handwritten signature in black ink, appearing to read 'Jochen Gorges', written over a horizontal line.

Jochen Gorges
SRA Reporting & Systems
Vice President



IMPERIAL TOBACCO NEW ZEALAND
124-130 Richmond Street, PO Box 39-400,
Petone, Wellington, New Zealand
Tel: +64 (0)4 587 1500
Fax: +64 (0)4 587 1501
email: Imperial@nz.imptob.com

CONFIDENTIAL

31 January 2017

The Director General of Health
Ministry of Health
P O Box 5013
WELLINGTON

Dear Sir

Enclosed please find the Schedule 6 Form of Return for Imperial Tobacco New Zealand, with reference to the Smoke-Free Environments Regulations 2007, of tests conducted by this Manufacturer for cigarettes covering the 2016 Calendar year.

I hereby certify that the information contained in and annexed to this return is correct for the purposes of the Smoke-Free Environments Regulations 2007.

Yours faithfully
IMPERIAL TOBACCO NEW ZEALAND

A handwritten signature in black ink, appearing to read 'Louise Evans McDonald', written over a horizontal line.

Louise Evans McDonald
Corporate Affairs Manager

ENCL.



IMPERIAL TOBACCO LIMITED
Winterstoke Road, Bristol BS3 2LL
Tel: +44 (0) 117 963 6636

Bethany Evans
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Imperial Tobacco Limited
121 Winterstoke Road
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26th January 2017

TO WHOM IT MAY CONCERN

FORM of RETURN

Manufacturer/Importer: Imperial Tobacco Limited

Calendar Year: 12 months to 31 December 2016

Tobacco Product Class: Cigarettes

I, hereby, certify that the referenced products have been manufactured by Imperial Tobacco according to the European manufacturing standards and they are regularly tested in its laboratory facilities.

This report correctly records the results of all tests carried out for products on sale in New Zealand during the period stated above for the purposes of section 35 of the Smoke Free Environment Act.

The mean values of the Nicotine-free dry particulate matter (TAR), the smoke nicotine and the carbon monoxide (CO), shown in the table attached, have been obtained following the ISO standards in force at the laboratories of SEITA in France, Reemtsma in Germany and Imperial Tobacco Poland in Poland on behalf of Imperial Tobacco Limited.

The ISO 17025 accreditation certificates of the laboratories of Imperial Tobacco Limited where the analyses have been performed are attached in the Annex entitled "Accreditation Certificate (ISO 17025)".

The corresponding certificated test report for each individual test made is available upon request.

Should you require further or complementary information do not hesitate to contact me.

Bethany Evans
Technical Regulatory Compliance Manager

BSB 63



www.imperialbrandsplc.com
An IMPERIAL BRANDS company
Registered In England No: 1860181. Registered Office: 121 Winterstoke Road, Bristol BS3 2LL





**Cigarette brands made by IMPERIAL TOBACCO
to be commercialized in New Zealand**

Smoke emissions. Test Results

Brand name	Brand Variant	Measured values			Analyses made in
		NFDPM (mg/cig.)	Smoke nicotine (mg/cig.)	Carbon monoxide (mg/cig.)	
Horizon Mellow KS	21	10	0.9	10	(1)
Horizon Mellow KS	25	10	0.9	10	(1)
Horizon Mellow KS	30	10	0.9	10	(1)
Horizon Mellow KS	40	10	0.9	10	(1)
Horizon Menthol KS	21	12	1.0	13	(1)
Horizon Menthol KS	25	12	1.0	13	(1)
Horizon Menthol KS	30	12	1.0	13	(1)
Horizon Menthol KS	40	12	1.0	13	(1)
Horizon Red KS	21	12	1.0	13	(1)
Horizon Red KS	25	12	1.0	13	(1)
Horizon Red KS	30	12	1.0	13	(1)
Horizon Red KS	40	12	1.0	13	(1)
JPS Black KS	20	10	0.7	8	(2)
JPS Blue KS	20	9	0.9	10	(1)
JPS Blue KS	21	9	0.9	10	(1)
JPS Blue KS	25	9	0.9	10	(1)
JPS Blue KS	26	9	0.9	10	(1)
JPS Blue KS	30	9	0.9	10	(1)
JPS Blue KS	40	9	0.9	10	(1)
JPS Blue SKS	20	9	0.8	9	(4)
JPS Green KS	21	12	1.1	13	(1)
JPS Green KS	25	12	1.1	13	(1)
JPS Green KS	26	12	1.1	13	(1)
JPS Green KS	30	12	1.1	13	(1)
JPS Green KS	40	12	1.1	13	(1)
JPS Green SKS	20	9	0.8	9	(4)
JPS Red KS	20	12	1.1	13	(1)
JPS Red KS	21	12	1.1	13	(1)
JPS Red KS	25	12	1.1	13	(1)
JPS Red KS	26	12	1.1	13	(1)
JPS Red KS	30	12	1.1	13	(1)
JPS Red KS	40	12	1.1	13	(1)
JPS Red SKS	20	10	1.0	9	(3)
Peter Stuyvesant Classic KS	20	10	0.9	10	(1)
Peter Stuyvesant Classic KS	25	10	0.9	10	(1)
Peter Stuyvesant Filler KS	20	12	1.0	12	(1)
Peter Stuyvesant Filler KS	25	12	1.0	12	(1)
Peter Stuyvesant Fine KS	20	3	0.3	4	(1)
West Blue KS	20	9	0.9	9	(1)
West Blue QS	20	9	0.8	9	(2)
West Menthol KS	20	12	1.0	13	(1)
West Red KS	20	12	1.1	13	(1)
West Red KS	30	12	1.1	13	(1)

Date: 26th January 2017

The results in the above list have been obtained by the application of the following ISO standards:

- ISO 8243. Cigarettes. Sampling
- ISO 4387. Cigarettes. Determination of total and nicotine-free dry particulate matter using a routine analytical smoking machine
- ISO 10315. Cigarettes. Determination of nicotine in smoke condensates. Gas-chromatographic method
- ISO 10362-1. Cigarettes. Determination of water in smoke condensates. Part 1: Gas-chromatographic method
- ISO 8454. Cigarettes. Determination of carbon monoxide in the vapour phase of cigarette smoke. NDIR method.



Imperial Tobacco. List of Accredited Laboratories

(1) SEITA. Centre de Recherche
48, rue Danton
45404 Fleury Les Aubrais
France

(3) Imperial Tobacco Poland
Jankowice ul. Przemysłowa 1
62-080 Tarnowo Podgórze
Poland

(2) Reemtsma Cigarettenfabriken GmbH
Albert-Einstein-Ring
22761 Hamburg
Germany

(4) Imperial Tobacco Limited
Thane Road, Lenton Industrial Estate
Nottingham, NG7 2TG
United Kingdom

A N N E X
Accreditation Certificates (ISO 17025)



Section Laboratoires

Convention N° 2753

ATTESTATION D'ACCREDITATION
ACCREDITATION CERTIFICATE

N° 1-1862 rév. 4

Le Comité Français d'Accréditation (Cofrac) atteste que :
The French Committee for Accreditation (Cofrac) certifies that :

SEITA - GROUPE IMPERIAL TOBACCO
N° SIREN : 331355263

Satisfait aux exigences de la norme
Fulfills the requirements of the standard

NF EN ISO/CEI 17025 : 2005

et aux règles d'application du Cofrac pour les activités d'analyses/essais/étalonnages en :
and Cofrac rules of application for the activities of testing/calibration in :

BIENS DE CONSOMMATION, SPORTS ET LOISIRS / TABAC
CONSUMER PRODUCTS, SPORTS AND LEISURE ACTIVITIES / TOBACCO

réalisées par / *performed by :*

Groupe Imperial Tobacco - SEITA Centre de Recherche
48, rue Danton
45404 FLEURY LES AUBRAIS Cedex

et précisément décrites dans l'annexe technique jointe
and precisely described in the attached technical appendix

L'accréditation suivant la norme internationale homologuée NF EN ISO/CEI 17025 : 2005 est la preuve de la compétence technique du laboratoire dans un domaine d'activités clairement défini et du bon fonctionnement dans ce laboratoire d'un système de management de la qualité adapté (cf. communiqué conjoint ISO/ILAC/IAF de janvier 2009)

Accreditation in accordance with the recognised international standard ISO/IEC 17025 : 2005 demonstrates technical competence for a defined scope and the operation of a laboratory quality management system (re. Joint IAF/ILAC/ISO Communiqué dated January 2009).

Le Cofrac est signataire de l'accord multilatéral d'EA pour l'accréditation, pour les activités objets de la présente attestation.

Cofrac is signatory of the European co-operation for Accreditation (EA) Multilateral Agreement for accreditation for the activities covered by this certificate.

Date de prise d'effet / *granting date :* 01/07/2015

Date de fin de validité / *expiry date :* 31/01/2017

Pour le Directeur Général et par délégation
On behalf of the General Director

Le Responsable du Pôle Chimie Environnement,
The Pole Manager,

Stéphane BOIVIN

Cette attestation annule et remplace l'attestation N° 1-1862 Rév 3. *This certificate cancels and replaces the certificate N° 1-1862 Rév 3.*
Seul le texte en français peut engager la responsabilité du Cofrac. *The Cofrac's liability applies only to the french text.*

Comité Français d'Accréditation - 52, rue Jacques Hillairet - 75012 PARIS

Tél. : 33 (0)1 44 68 82 20 – Fax : 33 (0)1 44 68 82 21 Siret : 397 879 487 00031

www.cofrac.fr

Deutsche Akkreditierungsstelle GmbH

Beliehene gemäß § 8 Absatz 1 AkkStelleG i.V.m. § 1 Absatz 1 AkkStelleGBV
Unterzeichnerin der Multilateralen Abkommen
von EA, ILAC und IAF zur gegenseitigen Anerkennung

Akkreditierung



Die Deutsche Akkreditierungsstelle GmbH bestätigt hiermit, dass das Prüflaboratorium

Reemtsma Cigarettenfabriken Gesellschaft mit beschränkter Haftung
AN IMPERIAL TOBACCO GROUP COMPANY

an den Standorten

Central Lab, Special Analytes Lab and Biological Laboratory
Albert-Einstein-Ring 7, 22761 Hamburg

Van Nelle Tabak Nederland B.V.
Imperial Tobacco Joure
Smoking Tobacco Division
Slachtedijk 28 A, NL-8501 ZA Joure, Netherland

die Kompetenz nach DIN EN ISO/IEC 17025:2005 besitzt, Prüfungen in folgenden Bereichen durchzuführen:

chemische, physikalische und biologische Untersuchungen von Tabak, Tabakerzeugnissen, Dämpfen/Aerosolen, Inhalts- und Zusatzstoffen sowie Rückständen und Kontaminationen von Tabakerzeugnissen/elektronischen Zigaretten und Trägermaterialien basierend auf Cellulose und Cellulosederivaten; Probenahme von Zigaretten und Tabakerzeugnissen

Die Akkreditierungsurkunde gilt nur in Verbindung mit dem Bescheid vom 13.08.2015 mit der Akkreditierungsnummer D-PL-14465-01 und ist gültig bis 02.07.2019. Sie besteht aus diesem Deckblatt, der Rückseite des Deckblatts und der folgenden Anlage mit insgesamt 13 Seiten.

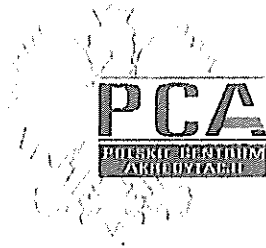
Registrierungsnummer der Urkunde: D-PL-14465-01-00

Im Auftrag

Andrea Valbuena
Abteilungsleiterin

Berlin, 13.08.2015

POLSKIE CENTRUM AKREDYTACJI
POLISH CENTRE FOR ACCREDITATION



Sygnatariusz EA MLA
EA MLA Signatory

CERTYFIKAT AKREDYTACJI
LABORATORIUM BADAWCZEGO
ACCREDITATION CERTIFICATE OF TESTING LABORATORY
Nr AB 1520

Potwierdza się, że: / This is to confirm that:

IMPERIAL TOBACCO POLSKA S.A.
LABORATORIUM CHEMICZNE ITPL
Jankowice ul. Przemysłowa 1
62-080 Tarnowo Podgórne

spełnia wymagania normy PN-EN ISO/IEC 17025:2005
meets requirements of the PN-EN ISO/IEC 17025:2005 standard

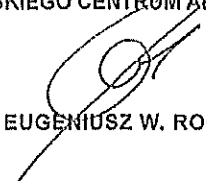
Akredytowana działalność jest określona w Zakresie Akredytacji Nr AB 1520
Accredited activity is defined in the Scope of Accreditation No AB 1520

Akredytacja pozostaje w mocy pod warunkiem przestrzegania
wymagań jednostki akredytującej określonych w kontrakcie Nr AB 1520
This accreditation remains in force provided the Laboratory observes
the requirements of Accreditation Body defined in the Contract No AB 1520

Certyfikat akredytacji ważny do dnia 30.06.2018 r.
The certificate of accreditation is valid until 30.06.2018



DYREKTOR
POLSKIEGO CENTRUM AKREDYTACJI


EUGENIUSZ W. ROGUSKI

Warszawa, 1 lipca 2014 roku

United Kingdom Accreditation Service

ACCREDITATION CERTIFICATE



**TESTING LABORATORY
No. 8365**

**Imperial Tobacco Ltd
(Nottingham Manufacturing Analytical Services Laboratory)**

is accredited in accordance with the recognised International Standard ISO/IEC 17025:2005 - General requirements for the competence of testing and calibration laboratories.

This accreditation demonstrates technical competence for a defined scope as detailed in and at the locations specified in the schedule to this certificate, and the operation of a laboratory quality management system (refer joint ISO-ILAC-IAF Communiqué dated January 2009).

The schedule to this certificate is an essential accreditation document and from time to time may be revised and reissued by the United Kingdom Accreditation Service. The most recent issue of the schedule of accreditation, which bears the same accreditation number as this certificate, is available from the UKAS website www.ukas.com.

This accreditation is subject to continuing conformity with United Kingdom Accreditation Service requirements. The absence of a schedule on the UKAS website indicates that the accreditation is no longer in force.

A handwritten signature in black ink, appearing to be 'M. A.', written over a horizontal line.

Accreditation Manager, United Kingdom Accreditation Service

**Initial Accreditation date
26 June 2014**

**This certificate issued on
26 June 2014**

UKAS is appointed as the sole national accreditation body for the UK by The Accreditation Regulations 2009 (SI No 3155/2009) and operates under a Memorandum of Understanding (MoU) with the Department for Business, Innovation and Skills (BIS).

Form of Return – Schedule 5
Commercially of Confidence

SMOKE-FREE ENVIRONMENTS REGULATIONS 2007

Manufacturer or Importer: IMPERIAL TOBACCO NEW ZEALAND
("the Company")

Calendar Year: 2016

a) Tobacco Weight by Product Class:

Cigarettes	248,228	Kg
Cigarette Tobacco	133,969	Kg

b) Weight of Additives in Total by Product Class:

Cigarettes	115	Kg
Cigarette Tobacco	19,232	Kg

c) List of additives and quantities not exceeded for each brand and brand variants in this return:

See attached Schedule A. Schedule A has been compiled as set out in the attached copy Legal Firm Certificate.

It is declared based on the copies of Certificates attached to Schedule A that:

All additives used in tobacco in the brands and brand variants in the Calendar Year have been listed in Schedule A.

No additive has been named for inclusion in Schedule A unless that additive was used in such tobacco products.

No additive was used in the Company's brands and brand variants in excess of the "Quantity not exceeded" as specified in Schedule A.

Form of Return – Schedule 5
Commercially of Confidence

d) Brand information:

Cigarettes	Sales 2016 (000s)	Price (\$) per packet to consumer	Price (\$) per packet to consumer
Brand Name/Pack Size	Sales 2016 (000s)	01 Jan to 07 Aug 2016	08 Aug to 31 Dec 2016
JPS Black 20	33.00	22.00	22.00
Camel 20	2573.00	23.90	24.00
Horizon Filter 25	2051.00	28.20	28.30
Horizon Filter 30	8320.00	33.50	33.80
Horizon Filter 21	10864.00	22.80	22.90
Horizon Filter 40	5022.00	42.40	43.00
Horizon Mellow 25	1356.00	28.20	28.30
Horizon Mellow 30	6681.00	33.50	33.80
Horizon Mellow 21	4748.00	22.80	22.90
Horizon Mellow 40	2189.00	42.40	43.00
JPS Green 30	1705.00	30.50	30.50
JPS Green 26	820.00	26.50	26.00
JPS Full Taste Green 20	2955.00	20.20	20.40
JPS Green 21	3548.00	21.60	21.00
JPS Green 40	1541.00	40.00	40.00
Peter Stuyvesant Filter 20	2436.00	23.90	23.90
Peter Stuyvesant Filter 25	1201.00	29.90	29.90
Peter Stuyvesant Classic 20	7682.00	23.90	23.90
Peter Stuyvesant Classic 25	1287.00	29.90	29.90
Peter Stuyvesant Fine 20	493.00	23.90	23.90
West Red 30	37609.00	29.90	30.00
West Red 20	64554.00	19.90	20.00
Horizon Menthol 25	855.00	28.20	28.30
Horizon Menthol 30	6293.00	33.50	33.80
Horizon Menthol 21	4851.00	22.80	22.90
Horizon Menthol 40	1665.00	42.40	43.00
JPS Red 30	19386.00	30.50	30.50
JPS Red 26	17259.00	26.50	26.00
JPS Full Taste Red 20	44540.00	20.20	20.40
JPS Red 21	33783.00	21.60	21.00
JPS Red 40	9031.00	40.00	40.00
JPS Blue 30	3182.00	30.50	30.50
JPS Blue 26	1483.00	26.50	26.00
JPS Full Taste Blue 20	6231.00	20.20	20.40

Form of Return – Schedule 5
Commercially of Confidence

JPS Blue 21	13017.00	21.60	21.00
JPS Blue 40	2202.00	40.00	40.00
JPS Superkings Green 20	56.00	21.60	21.60
JPS Superkings Red 20	2395.00	21.60	21.60
JPS Superkings Blue 20	41.00	21.60	21.60
West Blue 20	2754.00	19.90	20.00
West Menthol 20	3536.00	19.90	20.00
<u>Cigarette Tobacco (RYO)</u>		Price (\$) per packet to consumer	Price (\$) per packet to consumer
Brand Name/Pack Size	Sales 2016 (KG's)	01 Jan to 07 Aug 2016	08 Aug to 31 Dec 2016
Riverstone 30g	53181.00	45.00	45.50
Riverstone Dark 30g	232.00	43.60	45.50
Riverstone 50g	15750.00	70.00	70.50
Riverstone 40g	15617.00	58.00	58.50
JPS Red 30g	14695.00	45.90	44.00
JPS Red 40g	1605.00	59.40	59.40
JPS Red 50g	2951.00	73.50	69.50
Horizon Mellow 30g	1925.00	46.50	46.90
Horizon Mellow 40g	735.00	63.40	63.40
Horizon Menthol 30g	4701.00	46.50	46.90
Horizon Menthol 40g	554.00	63.40	63.40
Horizon Menthol 50g	1052.00	76.50	77.00
Horizon Regular 30g	6073.00	46.50	46.90
Horizon Regular 40g	589.00	63.40	63.40
Horizon Regular 50g	1249.00	76.50	77.00
Pocket Edition 30g	6617.00	47.90	48.20
Pocket Edition 50g	3017.00	78.00	78.00
JPS Blue 30g	1677.00	45.90	44.00
Drum Classic 30g	2879.00	48.70	48.70
Drum Classic 50g	1521.00	79.00	79.00
Drum Rich 30g	1242.00	48.70	48.70
Drum Rich 50g	587.00	79.00	79.00
JPS Green 30g	3039.00	45.90	44.00
JPS Red Plus 30g	10075.00	43.60	44.00
<u>Duty Free Cigarettes</u>		Price (\$) per unit to consumer	Price (\$) per unit to consumer
Brand Name/Pack Size	Sales 2016 (000s)	01 Jan to 10 May 2016	11 May to 31 Dec 2016
DF - Camel 20/200	97.00	110.00	115.00

Form of Return – Schedule 5
Commercially of Confidence

DF - Horizon Red 25 Twin Pack	184.00	25.99	27.00
DF – Horizon Red 25/200	243.00	98.99	105.00
DF - Horizon Mellow 25 Twin Pack	152.00	25.99	27.00
DF – Horizon Mellow 25/200	251.00	98.99	105.00
DF – Horizon Menthol 25 Twin Pack	140.00	25.99	27.00
DF – Horizon 25/200	175.00	98.99	105.00
DF – Peter Stuyvesant 25 Twin Pack	75.00	29.99	29.99
DF - Peter Stuyvesant King Size 20/200	65.00	105.00	109.00
DF – Peter Stuyvesant Classic 25 Twin Pack	129.00	29.99	29.99
DF - Peter Stuyvesant Classic King Size 20/200	105.00	105.00	109.00
DF - Peter Stuyvesant Fine King Size 20/200	18.00	105.00	109.00
DF – JPS Green 40	67.00	19.00	20.00
DF – JPS Red 40	123.00	19.00	20.00
DF – JPS Blue 40	116.00	19.00	20.00
DF – JPS Full Taste Blue 20	132.00		93.00
DF – JPS Full Taste Green 20	81.00		93.00
DF – JPS Full Taste Red 20	183.00		93.00
DF - JPS Green Super King Size 20/200	14.00	88.99	93.00
DF - JPS Red Super King Size 20/200	20.00	88.99	93.00
DF - JPS Blue Super King Size 20/200	33.00	88.99	93.00
DF - West Red 20/200	144.00	79.00	79.00
DF - West Rich Blue 20	75.00	79.00	79.00
<u>Duty Free Cigarette Tobacco (RYO)</u>		Price (\$) per unit to consumer	Price (\$) per unit to consumer
Brand Name/Pouch Size	Sales 2016 (KGs)	01 Jan to 10 May 2016	11 May to 31 Dec 2016
DF - Riverstone 50g (outer & singles)	445.00	140.00/27.99	145.00/29.00
DF - JPS Red 50g (outer & singles)	266.00	135.00/26.99	155.00/31.00
DF - Horizon Menthol 50g (outer & singles)	147.00	160.00/31.99	175.00/35.00
DF - Horizon Regular 50g (outer & singles)	259.00	160.00/31.99	175.00/35.00
DF - Pocket Edition 50g (outer & singles)	214.00	180.00/35.99	195.00/39.00
DF - Drum Regular 50g (outer & singles)	181.00	175.00/34.99	195.00/39.00
DF - Drum Blue 50g (outer & singles)	126.00	175.00/34.99	195.00/39.00

I **LOUISE EVANS McDONALD**, Corporate Affairs Manager Imperial Tobacco New Zealand, hereby certify that the information contained in and annexed to this Return is correct for the purposes of the Smoke Free Environments Regulations 2007, subject to the qualifications stated.

Dated at Petone this 31st day of January 2017.

Form of Return – Schedule 5
Commercially of Confidence

Schedule A