

Ministry Of Health

Registration of Interest

For Service Outcomes and Service Providers

Social Bond Pilot

(Note: The ROI for Intermediaries will follow in 2014)

Part 2 – Response Form and Declaration

What's inside?

Provider's Response
Questions and Response
Template

Providers Declaration



1. Provider's Response Form and Declaration

Organisation Name:	
Proposed Service Outcome Area:	

Profile of Organisation

1. Provider's contact person for this ROI				
Contact person:				
Position:				
Phone number:				
Mobile number:				
Email address:				
Is the contact person authorised to negotiate?	Yes		No	

2. Provider's organisational profile	
Full legal name:	
Trading name: (if different)	if applicable
Name of parent company:	if applicable
Physical address:	for company insert registered office
Postal address:	
Company website:	
Location of head office:	city in New Zealand / if overseas please specify city and country
Type of entity (legal status):	sole trader / partnership / limited liability company / registered charity/other please specify
Country of residence:	insert country where organisation is resident for tax purposes

2. ROI Questions and Response Template

The provider is to provide a response to the following areas in the response box provided.

Area 1 – Social Bond Proposed Service Outcome	
Providers Information Required	Providers Response
<p>1.1 Proposed Social Bond Service Provision and Outcomes</p> <p>Tell us about your proposed social bond pilot outcome. Describe the services you propose to deliver as part of the pilot. Include a detailed description of the services you have chosen and how they will achieve the chosen outcome.</p>	
<p>1.2 Evidence Base</p> <p>Tell us how you know the proposed services will achieve the outcome. Are the proposed services new or proven?</p> <p>Provide any evidence that shows a relationship between the proposed services and the outcome. Such evidence may include:</p> <ul style="list-style-type: none"> • results of relevant services (here and/or overseas) • academic research • anecdotal reports 	
<p>1.3 Proposed Target Population</p> <p>Describe your target population for your social bond pilot services, including size, demographic, geographical reach, and ethnicity if applicable.</p> <p>Please also include:</p> <p>a) evidence of your proposed population size</p>	

<p>b) your rationale for choosing / identifying this population</p> <p>c) how this target population be safeguarded appropriately (e.g. if children are the demographic how would they be safeguarded from harm)</p>	
<p>1.4 Measurement</p> <p>How could you measure the outcome and attribute it to your proposed services? Please note:</p> <p>a) any control group or baseline measure you may need to compare your results to</p> <p>b) any outside influences that could make this difficult.</p>	
Area 2 – Capability and Experience	
Providers Information Required	Providers Response
<p>2.1 Summary of Organisation</p> <p>Tell us about your organisation and what makes you the right candidate to establish a Social Bond Pilot with. Include a brief overview of your organisations history and structure</p>	
<p>2.2 Experience in service outcome area</p> <p>Outline your current or previous contracts delivering relevant services successfully to target populations.</p>	
<p>2.3 External collaboration</p> <p>Outline your:</p> <ul style="list-style-type: none"> • experience collaborating with other providers or agencies • relevant experience contracting with government or private funders • any experience in obtaining investment capital and working with social investors 	

<ul style="list-style-type: none"> involvement of external audit parties in reviewing your service delivery on behalf of a client 	
<p>2.4 Commitment</p> <p>Outline your organisations willingness to work with government on this pilot</p>	
<p>Area 3 – 3-5 Year Budget Sketch</p>	
<p>3.1 3-5 Year Budget Sketch</p> <p>Please provide your estimated budget sketch for the 3-5 year pilot term.</p>	<p>Please provide your response in the template provided in Part 1 Appendix A - Budget Sketch</p>

3. Provider's Declaration

Each Provider is required to complete the following Declaration. For joint or consortium Proposals each party must complete a separate Declaration.

Provider's Declaration		
Outcome	Requirement	Provider's declaration
ROI response:	The Provider has prepared this Proposal independently to supply the goods/services. OR jointly with [insert name of Provider #2] OR in consortium with [insert names of consortium Providers]	agree / disagree
ROI terms and conditions:	The Provider has read and fully understands all parts to this ROI, and the ROI Terms and Conditions, and agrees to be bound by them.	agree / disagree
Collection of further information:	The Provider authorises the Ministry to: <ul style="list-style-type: none"> a. collect any information about the Provider, except commercially sensitive pricing information, from any relevant third party, including a referee, or previous or existing client b. to use such information in the evaluation of the Proposal. 	agree / disagree
Conflict of interest:	The Provider warrants that it has no actual, potential or perceived conflict of interest in submitting this Proposal, or entering into a contract to deliver the Requirements. Where a conflict of interest arises during the ROI process the Provider will report it immediately to the Ministry's Contact Person.	agree / disagree
Provision of Information to other Parties	The Provider agrees to Part 3 – ROI Terms and Conditions clause 1.20 and 2.21 – relating to the provision of information to other parties.	agree / disagree
Ethics:	The Provider warrants that in submitting this Proposal it has not: <ul style="list-style-type: none"> a. entered into any improper, illegal, collusive or anti-competitive arrangements with any competitor b. directly or indirectly, approached any representative of the NZ Government or providers to the Social Bond Pilot project to lobby or solicit information in relation to the ROI (other than the Ministry's nominated Contact Person) c. has not attempted to influence, or provide any form of personal inducement, reward or benefit to any representative of the NZ Government. 	agree / disagree
Willingness to engage and remain	The provider accepts that to form a social bond it will need to enter into a contract with an intermediary to deliver the	agree / disagree

agile through the process	service. This requires willingness to engage with the intermediary and other parties in order to progress through the remainder of the Social Bond Pilot procurement process.	
Solution Establishment Phase	<p>Provider acknowledges the scope, principles and process of this phase will be finalised and formalised and requires agreement from the successful provider should they wish to proceed.</p> <p>See Part 1 – Proposed social bond pilot procurement process – ‘Solution Establishment – phase 3 overview’.</p>	agree / disagree
Offer validity period:	The Provider confirms that this Proposal, remains open for acceptance for a period of 12 months from the Closing Date, as stated in Part 3 - ROI Terms and Conditions, clause 2.18.	agree / disagree
Declaration:	<p>The Provider declare that in preparing this Proposal it:</p> <ul style="list-style-type: none"> a. has provided complete and accurate information in all parts of the Proposal, in all material respects b. has secured all appropriate authorisations to submit this Proposal and is not aware of any impediments to its ability to enter into a formal contract to deliver the proposed services in this ROI. <p>The Provider understands that should it be successful the falsification of information, supplying misleading information or the suppression of material information in relation to this ROI will be grounds for elimination of any further process as a result of this ROI.</p>	agree / disagree

DECLARATION

This Proposal has been approved, and is signed by, a representative of the Provider who has the authority to do so. This representative is named below.

This representative declares that the particulars provided above and in the attached Proposal documents are accurate, true and correct.

Signature:	
Full name:	
Title / position:	
Date:	

Check List	Electronic copy
1. Profile of organisation	✓
2. Response to ROI including 3-5 year budget sketch	✓
3. Provider's Declaration	✓