

# Ministry Of Health

## Request for Solution Outline

### Phase 3 - Social Bond Pilot

## Part 2 – Response Document

### What's inside?

Section A – Profile of the Organisation(s) Proposing

Section B – Quick Snapshot of Proposed Bond

Section C – Mandatory Criteria

Section D – Response to Solution Outline

Section E – Recap on Key Statistics

Section F – Referees

Section G – Response to Proposed Approach to Outcome Agreement

Section H – Lead Provider's and Partners' Declarations



### Instructions

- Complete and return this Response document by 2 March 2015.
- Refer to Part 1, Request for Solution Outline, the Glossary and the Guidance when drafting your organisation's response
- Do not alter the format of this template

## Section A: Profile of the Organisation(s) Proposing

Lead Provider				
Full legal name:				
Contact person:				
Position:				
Phone number:				
Mobile number:				
Email address:				
Type of entity (legal status):	sole trader / partnership / limited liability company / other (please specify)			
Is the contact person authorised to negotiate with the Crown on behalf of each entity in this section?	Yes		No	

Partners	
Full legal name:	
Contact person:	
Position:	
Phone number:	
Mobile number:	
Email address:	
Type of entity (legal status):	sole trader / partnership / limited liability company / other (please specify)
Legal relationship between the Lead Provider and the Partner:	

Partners	
Full legal name:	
Contact person:	
Position:	
Phone number:	
Mobile number:	
Email address:	
Type of entity (legal status):	sole trader / partnership / limited liability company / other (please specify)
Legal relationship between the Lead Provider and the Partner:	

Partners	
Full legal name:	
Contact person:	
Position:	
Phone number:	
Mobile number:	
Email address:	
Type of entity (legal status):	sole trader / partnership / limited liability company / other (please specify)
Legal relationship between the Lead Provider and the Partner	

Financial Information				
<b>We require financial information in relation to the Lead Provider.</b>				
<i><b>Note:</b> Additional financial information may be requested during RFSO evaluation process.</i>				
<i>If you are unable to provide 2 years of financial statements then you need to demonstrate in other forms that your organisation is financially secure. Examples of such alternatives could include:</i>				
<ul style="list-style-type: none"> <li>• Forecast P&amp;L, balance sheet and cash flow projections;</li> <li>• Financial statements of the organisations who have a shareholding;</li> <li>• Letters of support from your financial backers/shareholders; and</li> <li>• Letters from your bank, accountant or any other written material you consider relevant.</li> </ul>				
Current financial status:	[brief description of the organisation's current financial status, stability and capitalisation]			
Gross revenue:	[state the gross revenue for the last two years]			
Gross profit:	[state the gross profit for the last two years]			
Last audited accounts:	[insert date of last audited accounts]			
Copy of latest audited accounts attached?	Yes		No	
Copy of latest annual report attached?	Yes		No	
Is a merger/sale/restructure in contemplation?	Yes		No	
Has your shareholding changed significantly in the last 2 years?	Yes		No	
2-year copy of financial reports attached?	Yes		No	

Additional financial information will be requested during the due-diligence phase.

## Section B: Quick Snapshot of Proposed Bond

Please provide brief answers to the following questions to provide context (the responses to this section are not evaluated).

Enter responses below:

In a sentence, what is the Outcome you are targeting?

In a sentence, briefly describe the proposed Intervention Programme.

What is the proposed length of the pilot? This is the total length of the contract, including the treatment period and any additional time required to measure Outcomes. (e.g. treatment period of 4 years, with 1 further year to complete measurement).

What is the proposed pilot budget to the nearest million including both Service Provider and Intermediary costs, other bond costs and potential financing costs (e.g. \$15 million)?

## Section C: Mandatory Criteria

Respond YES/NO to the following Mandatory Criteria.

Are you proposing an Outcome that is measurable?	YES/NO
Are you proposing a Payment by Results mechanism?	YES/NO
Are you proposing third party investment (i.e. not 100% funded by parties involved in process (e.g. Service Provider, Intermediary or Government))?	YES/NO

## Section D: Response to Solution Outline

In preparing your Proposal you are required to address each of the following questions which explore facets of the social bond solution.

This pack is accompanied by a Glossary that defines a number of key concepts that are used throughout the document. We have also attached a Guidance document which should be read in conjunction with these questions. In preparing your Proposal you should seek to reflect and accommodate the concepts in both the Glossary and the Guidance document.

### 1. Social Issue

1.1

#### **Social Issue**

Provide a clear outline statement of the social issue you are proposing to address. Why is it a problem? Include an explanation of the current level of demand for and supply of services to address this social issue.

Your response goes here:

1.2

#### **Estimated Social Cost**

What is your estimate of the social cost (both Community Cost and Government Cost) of this social issue? Identify who bears these costs. Note any key assumptions and data sources you have used to estimate Government Cost (include source of information).

Your response goes here:

1.3

#### **Impacted Population**

Describe the Impacted Population for the social issue you are proposing to address. How large is the population? What are the characteristics or identifiers of the population?

Your response goes here:

## 2. Proposed Solution

### 2.1 Overview

Briefly summarise your proposed solution in half a page or less.

*Note: this is intended to provide context to Evaluators who are reading responses to the following detailed questions.*

Your response goes here:

### 2.2 Intervention Programme

Briefly outline the Intervention Programme you propose delivering to address the social issue. Identify all material Inputs, including any other organisation(s) that will be involved in delivery of Services. Provide details including the Service location, Target Population, eligibility, proposed referral processes, number of participants in the Treatment Group and duration of the Services.

Your response goes here:

### 2.3 Intervention Logic/Theory of Change

What is your Intervention Logic?

Your response goes here:

### 2.4 Outcomes

What are the primary Outcomes your Intervention Programme is looking to achieve?

Your response goes here:

### 2.5 Outline of Proposed First and Second Order Impacts

What are your proposed First and Second Order Impacts? Include a diagram to illustrate.

*Refer to the Guidance Document for an example of the diagram.*

Your response goes here:

### 2.6 Measurement

For those impacts in your diagram in 2.5, what measures do you propose using? Specifically identify those that will form the basis of the Payment Metric that will determine the Outcome Payment Government will be required to make, as well as any Secondary Metrics.

Provide a rationale for your preferred measures, taking into account issues such as the availability of

robust data, time to measure, and strength of correlation to potential savings.

Your response goes here:

**2.7 Counterfactual** (e.g. maintaining the status quo)

What would happen if the status quo is maintained and there is no Intervention Programme as proposed?

What is the proposed Counterfactual against which performance will be compared? Describe how the Counterfactual will be determined (e.g. historical outcomes or a Control Group), and your estimate of the Counterfactual. Provide a rationale for your proposed Counterfactual, taking into account issues such as data quality and availability and variation/trends in historical results (where known).

Your response goes here:

**2.8 Intervention Programme Impact** (e.g. the impact of your proposed Intervention Programme)

What is the estimated impact of your proposed Intervention Programme?

What is your estimate of the size of the effect of the Intervention Programme on the Outcome measure(s) described in response 2.6? Provide evidence to support your answer.

What is your estimate of Cashable Savings to the Government?

Your response goes here:

**2.9 Degree of Innovation**

How innovative is this Intervention Programme relative to those that your organisation has undertaken before or those existing in the community (is it tried and proven, a next stage development in what you're already doing, or a new and innovative development)?

Your response goes here:



### 3. Structure and Parties Involved

#### 3.1 Social Bond Organisation's Structure

Outline the broad legal (including organisational structure) and governance structure of the proposed social bond. Include each party's role in the proposed solution. At a high level, what are the contractual arrangements that will exist between the parties (e.g. subcontracting, partnership, joint venture or Special Purpose Vehicle)?

Include a diagram that illustrates the structure.

Your response goes here:

#### 3.2 Proposed Government Involvement

What role (if any) do you need Government to play in delivery of the proposed Outcome (i.e. other than being a contracting party)?

Your response goes here:

#### 3.3 Contract with the Crown

Which entity(s) will hold the contract with the Crown?

Your response goes here:

## 4. Financial Structure

### 4.1 Financial Flows

To aid our understanding when reviewing this section, include a diagram illustrating the financial flows between the different parties involved in the social bond. Include in the diagram as much information as you are able to at this point (e.g. a description of the number (e.g. year 1, year 2) of years and the potential fund amounts (e.g. \$1 m)).

*Refer to the Guidance Document for an example of the diagram.*

Your response goes here:

### 4.2 Outcome Payment Structure (e.g. the payment between the Government and Intermediary)

Describe your proposed payment mechanism(s) from the Crown.

What Outcome measures will be linked to Government payment structures, and what is the proposed frequency of payments?

Include details of Outcome Payments under various performance scenarios.

Your response goes here:

### 4.3 Investor Model (e.g. the relationship between the Social Bond Issuer and Investor)

What sort of investment model will potential Investors be offered?

How did you determine that this investment model will meet potential Investor needs?

Will all Investor funding be contributed in an up-front lump sum?

Do you have a proposed minimum investment amount per Investor?

Your response goes here:

### 4.4 Investor's Payment Structure

Describe how the Government payment structure will be linked to Investor returns and their calculation. Include details of proposed Investor payments under various performance scenarios, including the proportion of capital at risk in downside scenarios and the maximum return under out-performance scenarios.

Your response goes here:

4.5	<p><b>Service Provider Funding</b> (e.g. the payment arrangement between the Social Bond Issuer and Service Provider)</p> <p>How will the Service Provider receive funding?</p> <p>Will the Service Provider be asked to share any of the risk?</p>
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Your response goes here:

4.6	<p><b>Intermediary Payment</b></p> <p>How and when is the Intermediary proposing to be paid (e.g. flat fee, % of profit, % of process fee or pro-bono)?</p>
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Your response goes here:

4.7	<p><b>Risk Allocation/Risk Sharing</b></p> <p>Describe the key risk factors involved in the Proposal, and the parties that substantively bear those risks, the period of such risks, any material mitigation measures and the assumed likelihood/magnitude of those risks (for example, consider risks such as variations in participant numbers, the measured Counterfactual, programme efficacy, program delivery costs, and Government expenditure levels).</p>
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Your response goes here:

## 5. Proposed Financial Model

5.1

Given the Intervention Programme outlined in Section 2 and the financial structure outlined in Section 4, what is the financial model for establishing and running the social bond?

Develop and attach a financial model that estimates:

- cash flows to all parties;
- proposed costs of establishing the social bond;
- proposed cost of managing the bond;
- intermediary payments and other associated costs such as legal expenses, and any other relevant expenses (e.g. audit or trustee fees and the costs of an Independent Assessor); and
- estimated Government payments.

The model must clearly distinguish service delivery costs from "bond costs".

The model must include each year of the pilot (e.g. year 0 (implementation), year 1, year 2, year 3, year 4, year 5, year x (wind down)).

*Refer to the Guidance Document for more information.*

Your response goes here:

## 6. Data Set Availability

6.1 What data sets does your organisation currently have access to, or maintain that will be used during the social bonds pilot?

*Hint: Remember that there are two data sets required for social bonds:*

- *Data sets for estimating impacts in advance (e.g. prior to Intervention Programme)*
- *Data for assessing achievement of Outcomes after delivery*

Your response goes here:

6.2 What data sets do you need that you do not currently have access to? Are these data sets available or would they need to be generated?

If it is new data and needs generating, how do you propose to implement this new data collection (what systems/IT and processes will be required and what will be the cost/time to establish these)?

Your response goes here:

6.3 Do you need any ongoing access to any Government agency's data and if so whose?

Your response goes here:

## 7. Investor Interest

### 7.1 Investor Strategy

Outline your Investor engagement strategy. What type and number of Investors do you believe will be attracted to your Proposal? What do you believe Investors will see as the key benefits and risks of investing in your proposed social bond?

Your response goes here:

### 7.2 Capital

How much capital will Investors be asked (and willing) to put at risk, and what level of return (social and financial) will they be seeking?

Your response goes here:

### 7.3 Treatment of Funds and Investor Status

How will Investor funds be held/invested prior to application by the Service Provider?

Will the Intermediary and/or Service Provider (or an affiliate) also have Investor status?

Your response goes here:

## 8. Commitment to Pilot and Procurement Process

*Note: questions 8.1-8.3 relate to long term delivery of the project and 8.4-8.6 relate to the pilot procurement process. Answer these questions for each partner/party involved in your proposed structure.*

### 8.1 Priority of Project within Your Organisation(s)

If successful, where will responsibility for the pilot sit within your organisation(s)? Who would it report to (e.g. within what division would responsibility for the project sit and what are the reporting lines)?

Your response goes here:

### 8.2 Operations Team

Who will be the key members your team at implementation and as part of ongoing operation (post procurement phase)?

Your response goes here:

### 8.3 Partnership Endurance

Demonstrate to us that the partnership you propose is likely to survive the duration of the pilot (e.g. describe experience of collaboration working together on a project).

Your response goes here:

### 8.4 Experience

Outline what makes your organisation and your partners the “right organisation” to lead one of New Zealand’s first social bond pilots.

Include any relevant experience and relevant track record in your response.

Your response goes here:

### 8.5 Capacity and Resource Allocation

Demonstrate your commitment through the remainder of the social bond pilot process including resource allocation.

Your response goes here:

8.6

**Sign Off**

What level of sign off will this project/contract need within your organisation? What organisational priority can you give to this pilot vs other priorities? How does this pilot/social issue align with your overall organisational objectives, strategy and the other social issues you seek to make an impact on?

Your response goes here:

8.7

**Speed to Market**

How quickly would you be looking to launch the social bond (e.g. we want to be contracted and raising funds within 6 months)?

Your response goes here:



## Section E: Recap of Key Statistics

Please recap the key stats you have presented in your Proposal.		
Statistic	Reference to question in this document	What the statistic is
1. Size of Impacted Population	1.3	
2. Target population	2.2	
3. Treatment Group over duration of pilot	2.2	
4. Attrition rate	2.2	
5. Number to complete the programme	2.8	
6. Number or % to realise the Outcome of programme	2.8	

## Section F: Referees

Additional financial information will be requested during the due-diligence phase.

### Referees

Please supply the details of two referees per organisation in the partnership. These must be work related clients, where you have provided services or undertaken or managed activities that are similar to those that will form a material component of the proposed Intervention Programme for the proposed pilot. Include a summary of the services/activities that have been provided or undertaken and when.

#### Lead Provider

##### Referee #1

Name of organisation:

Name of referee:

Relevance of this referee:

Address:

Telephone:

Email:

Services provided:

Dates when provided:

##### Referee #2

Name of organisation:

Name of referee:

Relevance of this referee:

Address:

Telephone:

Email:

Services provided:

Dates when provided:

<b>Partner</b>	
<b>Partner name</b>	
<b>Referee #1</b>	
Name of organisation:	
Name of referee:	
Relevance of this referee:	
Address:	
Telephone:	
Email:	
Services provided:	
Dates when provided:	
<b>Referee #2</b>	
Name of organisation:	
Name of referee:	
Relevance of this referee:	
Address:	
Telephone:	
Email:	
Services provided:	
Dates when provided:	

<b>Partner</b>	
<b>Partner name</b>	
<b>Referee #1</b>	
Name of organisation:	
Name of referee:	
Relevance of this referee:	
Address:	
Telephone:	
Email:	
Services provided:	
Dates when provided:	
<b>Referee #2</b>	
Name of organisation:	
Name of referee:	
Relevance of this referee:	
Address:	
Telephone:	
Email:	
Services provided:	
Dates when provided:	

<b>Partner</b>	
<b>Partner name</b>	
<b>Referee #1</b>	
Name of organisation:	
Name of referee:	
Relevance of this referee:	
Address:	
Telephone:	
Email:	
Services provided:	
Dates when provided:	
<b>Referee #2</b>	
Name of organisation:	
Name of referee:	
Relevance of this referee:	
Address:	
Telephone:	
Email:	
Services provided:	
Dates when provided:	

## Section G: Response to Proposed Approach to Outcome Agreement

1.	Outcome Agreement with Government	
1.1.	<p>Government proposes to use the NGO Streamlined Contracting Framework document suite as the starting point for documenting the outcome agreement between the entity in your Proposal that that will be contracting with the relevant Government agency to deliver the target Outcomes.</p> <p>These documents, and a range of supporting and explanatory material, are freely available through MBIE's website <a href="http://www.business.govt.nz/procurement/procurement-reform/streamlined-contracting-with-ngos">http://www.business.govt.nz/procurement/procurement-reform/streamlined-contracting-with-ngos</a>. The core materials for this purpose are the Framework Terms &amp; Conditions and outcome agreement.</p> <p>A draft outcome agreement will be prepared on behalf of the Government at a later date - it is too early to predict or commit to particular terms and conditions or complete the variable components of those documents until the precise shape and content of Proposals is known and understood.</p> <p>However, for the purposes of your Proposal, <b>consider</b> the NGO Streamlined Contracting Framework document suite (in particular, the Framework Terms &amp; Conditions) and <b>identify</b> in your response any areas in which you would expect to seek the Government to agree to material <b>departures</b> from the "default" position recorded in those documents, and the <b>reasons</b> for which you would seek such a departure. Also identify any content areas that you consider will be relevant to your Proposal but are <b>not addressed</b> in the Framework Terms &amp; Conditions or for which the form of outcome agreement does <b>not adequately contemplate</b>.</p>	

Provide any comments on the proposed approach to the outcome agreement below:

## SECTION H: LEAD PROVIDER'S AND PARTNERS' DECLARATIONS

The Lead Provider and each partner (refer Section A) is required to complete a separate Declaration (as if they were the Provider).

Lead Provider's Declaration		
Topic	Requirement	Provider's declaration
<b>RFSO response:</b>	<p>The Provider has prepared this Proposal independently.</p> <p><b>OR</b> jointly with [insert name of partner #2]</p> <p><b>OR</b> in consortium with [insert names of consortium partners]</p>	<b>agree / disagree</b>
<b>RFSO terms and conditions:</b>	The Provider has read and fully understands this RFSO, and the RFSO Conditions, and agrees to be bound by them.	<b>agree / disagree</b>
<b>Collection of further information:</b>	<p>The Provider authorises the Ministry of Health (and each other relevant Government agency) to:</p> <ul style="list-style-type: none"> <li>a. collect any information about the Provider, except commercially sensitive pricing information, from any relevant third party, including a referee, or previous or existing client; and</li> <li>b. use such information in the evaluation of the Proposal.</li> </ul> <p>The Provider agrees that all such information will be confidential to the Ministry of Health (and each other relevant Government agency).</p>	<b>agree / disagree</b>
<b>Proposal/Intervention Programme:</b>	The Provider has read and fully understands the nature and extent of the Proposal and Intervention Programmes required by the Ministry of Health (and each other relevant Government agency) for the purposes of conducting a social bond pilot, as described in this RFSO and accompanying materials. The Provider (including as relevant to its anticipated role/s) has the necessary capacity and capability to fully meet or exceed the functional or performance requirements required of it under the Proposal throughout the relevant contract period.	<b>agree / disagree</b>
<b>Proposed approach to Contracting:</b>	The Provider has reviewed the NGO Streamlined Contracting Framework documents referred to in Section G, assessed their suitability for use to document the outcome agreement with the relevant Government agency who will agree to pay for the achievement of the target Outcomes, and identified what it considers to be the material departures it would seek and any material omissions (together with a full and accurate description of its reasons for those views).	<b>agree / disagree</b>

<b>Conflict of Interest:</b>	The Provider warrants that it has no actual, potential or perceived conflict of interest in submitting this Proposal, or entering into an outcome agreement and other ancillary contracts necessary to give effect to the Proposal/Intervention Programme referred to therein. Where a conflict of interest arises during the RFSO process the Provider will report it immediately to the Ministry of Health's contact person.	<b>agree / disagree</b>
<b>Ethics:</b>	<p>In submitting this Proposal the Provider warrants the following:</p> <ul style="list-style-type: none"> <li>a. it has not entered into any improper, illegal, collusive or anti-competitive arrangements;</li> <li>b. its Proposal has not been prepared with consultation, communication, arrangement or understanding that fails to comply with the probity rules advised to the Provider as a condition of participating in the RFSO process (unless for joint venture, consortium or sub-contracting purposes);</li> <li>c. it has not directly or indirectly approached any representative of the Ministry of Health (or other Government agency) to lobby or solicit information in relation to the RFSO (other than the Ministry of Health's nominated Contact Person); and</li> <li>d. it has not attempted to influence, or provide any form of personal inducement, reward or benefit to, any representative of the Ministry of Health (or other Government agency).</li> </ul>	<b>agree / disagree</b>
<b>Offer Validity Period:</b>	The Provider confirms that this Proposal remains open for acceptance during the Offer Validity Period (see RFSO Part 1).	<b>agree / disagree</b>
<b>Declaration:</b>	<p>The Provider declares that in preparing this Proposal it:</p> <ul style="list-style-type: none"> <li>a. has provided complete and accurate information in all parts of the Proposal, in all material respects; and</li> <li>b. has secured all appropriate authorisations to submit this Proposal and is not aware of any impediments as to its ability to enter into a formal contract to deliver the Proposal/Intervention Programme referred to therein.</li> </ul> <p>The Provider understands that should this Proposal be successful and it be requested to proceed to the Solution Design Phase and, ultimately, be awarded a contract, then the falsification of information, supply of misleading information or suppression of material information in relation to this RFSO will be grounds for termination of the contract, or (prior to that) immediate cessation of the pre-contract engagement stage.</p>	<b>agree / disagree</b>
<p><b>If, in completing this Declaration, you fail to indicate 'agree' or 'disagree' against any of the above statements you will be deemed to agree with each of those statements.</b></p>		



**For any statement you have indicated you 'disagree', please explain in the space below why you disagree and how you would propose to address this issue.**

**DECLARATION**

This Proposal has been approved and is signed by a representative of the Provider who has the authority to do so. This representative is named below.

This representative declares that the particulars provided above and in the attached Proposal documents are accurate, true and correct.

**Lead Provider:**

**Signature:**

**Full name:**

**Title / position:**

**Date:**

Partner's Declaration		
Topic	Requirement	Partner's declaration
<b>RFSO response:</b>	<p>The Partner has prepared this Proposal independently.</p> <p><b>OR</b> jointly with [insert name of partner #2]</p> <p><b>OR</b> in consortium with [insert names of consortium partners]</p>	<b>agree / disagree</b>
<b>RFSO terms and conditions:</b>	The Partner has read and fully understands this RFSO, and the RFSO Conditions, and agrees to be bound by them.	<b>agree / disagree</b>
<b>Collection of further information:</b>	<p>The Partner authorises the Ministry of Health (and each other relevant Government agency) to:</p> <ul style="list-style-type: none"> <li>a. collect any information about the Partner, except commercially sensitive pricing information, from any relevant third party, including a referee, or previous or existing client; and</li> <li>b. use such information in the evaluation of the Proposal.</li> </ul> <p>The Partner agrees that all such information will be confidential to the Ministry of Health (and each other relevant Government agency).</p>	<b>agree / disagree</b>
<b>Proposal/Intervention Programme:</b>	The Partner has read and fully understands the nature and extent of the Proposal and Intervention Programmes required by the Ministry of Health (and each other relevant Government agency) for the purposes of conducting a social bonds pilot, as described in this RFSO and accompanying materials. The Partner (including as relevant to its anticipated role/s) has the necessary capacity and capability to fully meet or exceed the functional or performance requirements required of it under the Proposal throughout the relevant contract period.	<b>agree / disagree</b>
<b>Proposed approach to Contracting:</b>	The Partner has reviewed the NGO Streamlined Contracting Framework documents referred to in Section G, assessed their suitability for use to document the outcome agreement with the relevant Government agency who will agree to pay for the achievement of the target Outcomes, and identified what it considers to be the material departures it would seek and any material omissions (together with a full and accurate description of its reasons for those views).	<b>agree / disagree</b>
<b>Conflict of Interest:</b>	The Partner warrants that it has no actual, potential or perceived conflict of interest in submitting this Proposal, or entering into an outcome agreement and other ancillary contracts necessary to give effect to the Proposal/Intervention Programme referred to therein. Where a conflict of interest arises during the RFSO process, the Provider will report it immediately to the Ministry of Health's contact person.	<b>agree / disagree</b>

<b>Ethics:</b>	<p>In submitting this Proposal, the Partner warrants the following:</p> <ul style="list-style-type: none"> <li>a. it has not entered into any improper, illegal, collusive or anti-competitive arrangements;</li> <li>b. its Proposal has not been prepared with consultation, communication, arrangement or understanding that fails to comply with the probity rules advised to the Partner as a condition of participating in the RFSO process (unless for joint venture, consortium or sub-contracting purposes);</li> <li>c. it has not directly or indirectly approached any representative of the Ministry of Health (or other Government agency) to lobby or solicit information in relation to the RFSO (other than the Ministry of Health's nominated Contact Person); and</li> <li>d. it has not attempted to influence, or provide any form of personal inducement, reward or benefit to any representative of the Ministry of Health (or other Government agency).</li> </ul>	<b>agree / disagree</b>
<b>Offer Validity Period:</b>	The Partner confirms that this Proposal remains open for acceptance during the Offer Validity Period (see RFSO Part 1).	<b>agree / disagree</b>
<b>Declaration:</b>	<p>The Partner declares that in preparing this Proposal it:</p> <ul style="list-style-type: none"> <li>a. has provided complete and accurate information in all parts of the Proposal, in all material respects; and</li> <li>b. has secured all appropriate authorisations to submit this Proposal and is not aware of any impediments as to its ability to enter into a formal contract to deliver the Proposal/Intervention Programme referred to therein.</li> </ul> <p>The Partner understands that should this Proposal be successful and it be requested to proceed to the Solution Design Phase and, ultimately, be awarded a contract, then the falsification of information, supply of misleading information or suppression of material information in relation to this RFSO will be grounds for termination of the contract, or (prior to that) immediate cessation of the pre-contract engagement stage.</p>	<b>agree / disagree</b>
<p><b>If, in completing this Declaration, you fail to indicate 'agree' or 'disagree' against any of the above statements you will be deemed to agree with each of those statements.</b></p>		
<p><b>For any statement you have indicated you 'disagree', please explain in the space below why you disagree and how you would propose to address this issue.</b></p>		

**DECLARATION**

This Proposal has been approved and is signed by a representative of the Partner who has the authority to do so. This representative is named below.

This representative declares that the particulars provided above and in the attached Proposal documents are accurate, true and correct.

**Partner:****Partner organisation****Signature:****Full name:****Title / position:****Date:**

Partner's Declaration		
Topic	Requirement	Partner's declaration
<b>RFSO response:</b>	<p>The Partner has prepared this Proposal independently.</p> <p><b>OR</b> jointly with [insert name of partner #2]</p> <p><b>OR</b> in consortium with [insert names of consortium partners]</p>	<b>agree / disagree</b>
<b>RFSO terms and conditions:</b>	The Partner has read and fully understands this RFSO, and the RFSO Conditions, and agrees to be bound by them.	<b>agree / disagree</b>
<b>Collection of further information:</b>	<p>The Partner authorises the Ministry of Health (and each other relevant Government agency) to:</p> <ul style="list-style-type: none"> <li>a. collect any information about the Partner, except commercially sensitive pricing information, from any relevant third party, including a referee, or previous or existing client; and</li> <li>b. use such information in the evaluation of the Proposal.</li> </ul> <p>The Partner agrees that all such information will be confidential to the Ministry of Health (and each other relevant Government agency).</p>	<b>agree / disagree</b>
<b>Proposal/Intervention Programme:</b>	The Partner has read and fully understands the nature and extent of the Proposal and Intervention Programmes required by the Ministry of Health (and each other relevant Government agency) for the purposes of conducting a social bond pilot, as described in this RFSO and accompanying materials. The Partner (including as relevant to its anticipated role/s) has the necessary capacity and capability to fully meet or exceed the functional or performance requirements required of it under the Proposal throughout the relevant contract period.	<b>agree / disagree</b>
<b>Proposed approach to Contracting:</b>	The Partner has reviewed the NGO Streamlined Contracting Framework documents referred to in Section G, assessed their suitability for use to document the outcome agreement with the relevant Government agency who will agree to pay for the achievement of the target Outcomes, and identified what it considers to be the material departures it would seek and any material omissions (together with a full and accurate description of its reasons for those views).	<b>agree / disagree</b>
<b>Conflict of Interest:</b>	The Partner warrants that it has no actual, potential or perceived conflict of interest in submitting this Proposal, or entering into an outcome agreement and other ancillary contracts necessary to give effect to the Proposal/Intervention Programme referred to therein. Where a conflict of interest arises during the RFSO process, the Provider will report it immediately to the Ministry of Health's contact person.	<b>agree / disagree</b>

<b>Ethics:</b>	<p>In submitting this Proposal, the Partner warrants the following:</p> <ul style="list-style-type: none"> <li>a. it has not entered into any improper, illegal, collusive or anti-competitive arrangements;</li> <li>b. its Proposal has not been prepared with consultation, communication, arrangement or understanding that fails to comply with the probity rules advised to the Partner as a condition of participating in the RFSO process (unless for joint venture, consortium or sub-contracting purposes);</li> <li>c. it has not directly or indirectly approached any representative of the Ministry of Health (or other Government agency) to lobby or solicit information in relation to the RFSO (other than the Ministry of Health's nominated Contact Person); and</li> <li>d. it has not attempted to influence, or provide any form of personal inducement, reward or benefit to any representative of the Ministry of Health (or other Government agency).</li> </ul>	<b>agree / disagree</b>
<b>Offer Validity Period:</b>	The Partner confirms that this Proposal remains open for acceptance during the Offer Validity Period (see RFSO Part 1).	<b>agree / disagree</b>
<b>Declaration:</b>	<p>The Partner declares that in preparing this Proposal it:</p> <ul style="list-style-type: none"> <li>a. has provided complete and accurate information in all parts of the Proposal, in all material respects; and</li> <li>b. has secured all appropriate authorisations to submit this Proposal and is not aware of any impediments as to its ability to enter into a formal contract to deliver the Proposal/Intervention Programme referred to therein.</li> </ul> <p>The Partner understands that should its Proposal be successful and it be requested to proceed to the Solution Design Phase and, ultimately, be awarded a contract, then the falsification of information, supply of misleading information or suppression of material information in relation to this RFSO will be grounds for termination of the contract, or (prior to that) immediate cessation of the pre-contract engagement stage.</p>	<b>agree / disagree</b>
<p><b>If, in completing this Declaration, you fail to indicate 'agree' or 'disagree' against any of the above statements you will be deemed to agree with each of those statements.</b></p>		
<p><b>For any statement you have indicated you 'disagree', please explain in the space below why you disagree and how you would propose to address this issue.</b></p>		

**DECLARATION**

This Proposal has been approved and is signed by a representative of the Partner who has the authority to do so. This representative is named below.

This representative declares that the particulars provided above and in the attached Proposal documents are accurate, true and correct.

**Partner:****Partner organisation****Signature:****Full name:****Title / position:****Date:**

## Partner's Declaration

Topic	Requirement	Partner's declaration
<b>RFSO response:</b>	The Partner has prepared this Proposal independently. <b>OR</b> jointly with [insert name of partner #2] <b>OR</b> in consortium with [insert names of consortium partners]	<b>agree / disagree</b>
<b>RFSO terms and conditions:</b>	The Partner has read and fully understands this RFSO, and the RFSO Conditions, and agrees to be bound by them.	<b>agree / disagree</b>
<b>Collection of further information:</b>	The Partner authorises the Ministry of Health (and each other relevant Government agency) to: a. collect any information about the Partner, except commercially sensitive pricing information, from any relevant third party, including a referee, or previous or existing client; and b. use such information in the evaluation of the Proposal. The Partner agrees that all such information will be confidential to the Ministry of Health (and each other relevant Government agency).	<b>agree / disagree</b>
<b>Proposal/Intervention Programme:</b>	The Partner has read and fully understands the nature and extent of the Proposal and Intervention Programmes required by the Ministry of Health (and each other relevant Government agency) for the purposes of conducting a social bond pilot, as described in this RFSO and accompanying materials. The Partner (including as relevant to its anticipated role/s) has the necessary capacity and capability to fully meet or exceed the functional or performance requirements required of it under the Proposal throughout the relevant contract period.	<b>agree / disagree</b>
<b>Proposed approach to Contracting:</b>	The Partner has reviewed the NGO Streamlined Contracting Framework documents referred to in Section G, assessed their suitability for use to document the outcome agreement with the relevant Government agency who will agree to pay for the achievement of the target Outcomes, and identified what it considers to be the material departures it would seek and any material omissions (together with a full and accurate description of its reasons for those views).	<b>agree / disagree</b>
<b>Conflict of Interest:</b>	The Partner warrants that it has no actual, potential or perceived conflict of interest in submitting this Proposal, or entering into an outcome agreement and other ancillary contracts necessary to give effect to the Proposal/Intervention Programme referred to therein. Where a conflict of interest arises during the RFSO process, the Provider will report it immediately to the Ministry of Health's contact person.	<b>agree / disagree</b>
<b>Ethics:</b>	In submitting this Proposal, the Partner warrants the	<b>agree / disagree</b>



	<p>following:</p> <ul style="list-style-type: none"> <li>a. it has not entered into any improper, illegal, collusive or anti-competitive arrangements;</li> <li>b. its Proposal has not been prepared with consultation, communication, arrangement or understanding that fails to comply with the probity rules advised to the Partner as a condition of participating in the RFSO process (unless for joint venture, consortium or sub-contracting purposes);</li> <li>c. it has not directly or indirectly approached any representative of the Ministry of Health (or other Government agency) to lobby or solicit information in relation to the RFSO (other than the Ministry of Health's nominated Contact Person); and</li> <li>d. it has not attempted to influence, or provide any form of personal inducement, reward or benefit to any representative of the Ministry of Health (or other Government agency).</li> </ul>	
<b>Offer Validity Period:</b>	The Partner confirms that this Proposal remains open for acceptance during the Offer Validity Period (see RFSO Part 1).	<b>agree / disagree</b>
<b>Declaration:</b>	<p>The Partner declares that in preparing this Proposal it:</p> <ul style="list-style-type: none"> <li>a. has provided complete and accurate information in all parts of the Proposal, in all material respects; and</li> <li>b. has secured all appropriate authorisations to submit this Proposal and is not aware of any impediments as to its ability to enter into a formal contract to deliver the Proposal/Intervention Programme referred to therein.</li> </ul> <p>The Partner understands that should its Proposal be successful and it be requested to proceed to the Solution Design Phase and, ultimately, be awarded a contract, then the falsification of information, supply of misleading information or suppression of material information in relation to this RFSO will be grounds for termination of the contract, or (prior to that) immediate cessation of the pre-contract engagement stage.</p>	<b>agree / disagree</b>
<p><b>If, in completing this Declaration, you fail to indicate 'agree' or 'disagree' against any of the above statements you will be deemed to agree with each of those statements.</b></p>		
<p><b>For any statement you have indicated you 'disagree', please explain in the space below why you disagree and how you would propose to address this issue.</b></p>		
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**DECLARATION**

This Proposal has been approved and is signed by a representative of the Partner who has the authority to do so. This representative is named below.

This representative declares that the particulars provided above and in the attached Proposal documents are accurate, true and correct.

**Partner:****Partner organisation****Signature:****Full name:****Title / position:****Date:**

## Provider's Check List

Make sure you include everything that is asked for in this RFSO. Use this check list when finalising your Proposal.

Things to be included with your Proposal	Part 2	Electronic copy required
1. Profile of the Organisation(s) Proposing	Section A	✓
2. Quick Snapshot of Proposed Bond	Section B	✓
3. Mandatory Criteria	Section C	✓
4. Response to Solution Outline	Section D	✓
5. Recap of Key Statistics	Section E	✓
6. Referees	Section F	✓
7. Response to Proposed Approach to Outcome Agreement	Section G	✓
8. Lead Provider's and Partners' Declarations	Section H	✓

### Make sure you have:

- Attached the financial model
- Had each organisation in partnership complete and sign the Declaration
- Attached the required financial statements in Section A