

Transition surveillance audit

for Ngā paerewa

Health and disability services standard
(NZS 8134:2021)

November 2021

A transition model for Ngā paerewa surveillance audits has been developed with inclusion of all new Te Tiriti criteria to support providers implementation of cultural safety services, particularly for Māori. The surveillance audit criteria is to be used for a transition period of 18 months to 2 years from 28 February 2022.

The transition period ensures all providers have early support in their implementation of the new Te Tiriti criteria, irrespective of whether they are due for a certification or surveillance audit.

The transition surveillance criteria will be reviewed in August 2023, and business as usual surveillance audit criteria will be selected and implemented for future audits.

The transition surveillance audit criteria are outlined in the below table.

Transition surveillance audit criteria summary table

Key: **New criteria**/partially new criteria

	Criterion	Aged residential care services	Assisted reproductive technology services	Home and community support services	Residential disability services	Residential mental health and addiction services	Public and private overnight hospital inpatient services	Birthing unit services	Hospice services	Abortion services
Section 1: Our rights										
1.1	Pae ora healthy futures	1.1.3	✓	✓	✓	✓	✓	✓	✓	✓
1.2	Ola manuia of Pacific peoples in Aotearoa	1.2.3	✓	✓	✓	✓	✓	✓	✓	✓
1.3	My rights during service delivery	1.3.5	✓	✓	✓	✓	✓	✓	✓	✓
1.4	I am treated with respect	1.4.4	✓	✓	✓	✓	✓	✓	✓	✓
		1.4.5	✓	✓	✓	✓	✓	✓	✓	✓
		1.4.6	✓	✓	✓	✓	✓	✓	✓	✓

	Criterion	Aged residential care services	Assisted reproductive technology services	Home and community support services	Residential disability services	Residential mental health and addiction services	Public and private overnight hospital inpatient services	Birthing unit services	Hospice services	Abortion services
1.5 I am protected from abuse	1.5.5	✓	✓	✓	✓	✓	✓	✓	✓	✓
	1.5.6	✓	✓	✓	✓	✓	✓	✓	✓	✓
1.7 I am informed and able to make choices	1.7.9	✓	✓	✓	✓	✓	✓	✓	✓	✓
1.8 I have the right to complain	1.8.1	✓	✓	✓	✓	✓	✓	✓	✓	✓
	1.8.5	✓	✓	✓	✓	✓	✓	✓	✓	✓
1.9 Health and wellbeing of children born as a result of, and people accessing, reproductive technology services	1.9.1	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	1.9.2	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	1.9.3	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	1.9.4	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
1.10 Requirement of donation and surrogacy	1.10.1	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	1.10.2	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	1.10.3	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	1.10.4	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	1.10.5	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Section 2 Workforce and structure										
2.1 Governance	2.1.5	✓	✓	✓	✓	✓	✓	✓	✓	✓
	2.1.6	✓	✓	✓	✓	✓	✓	✓	✓	✓
	2.1.7	✓	✓	✓	✓	✓	✓	✓	✓	✓
	2.1.10	✓	✓	✓	✓	✓	✓	✓	✓	✓
2.2 Quality and risk	2.2.2	✓	✓	✓	✓	✓	✓	✓	✓	✓
	2.2.4	✓	✓	✓	✓	✓	✓	✓	✓	✓
	2.2.6	✓	✓	✓	✓	✓	✓	✓	✓	✓
	2.2.7	✓	✓	✓	✓	✓	✓	✓	✓	✓
	2.2.8	✓	✓	✓	✓	✓	✓	✓	✓	✓
2.3 Service management	2.3.1	✓	✓	✓	✓	✓	✓	✓	✓	✓
	2.3.3	✓	✓	✓	✓	✓	✓	✓	✓	✓
	2.3.4	✓	✓	✓	✓	✓	✓	✓	✓	✓
	2.3.6	✓	✓	✓	✓	✓	✓	✓	✓	✓
	2.3.7	✓	✓	✓	✓	✓	✓	✓	✓	✓
	2.3.9	N/A	N/A	N/A	N/A	✓	N/A	N/A	N/A	N/A
	2.3.10	N/A	N/A	N/A	N/A	✓	N/A	N/A	N/A	N/A
2.3.11	N/A	N/A	N/A	N/A	✓	N/A	N/A	N/A	N/A	

	Criterion	Aged residential care services	Assisted reproductive technology services	Home and community support services	Residential disability services	Residential mental health and addiction services	Public and private overnight hospital inpatient services	Birthing unit services	Hospice services	Abortion services
2.4 Health care and support workers and their availability	2.4.3	✓	✓	✓	✓	✓	✓	✓	✓	✓
	2.4.4	✓	✓	✓	✓	✓	✓	✓	✓	✓
	2.4.6	✓	✓	✓	✓	✓	✓	✓	✓	✓
Section 3 Pathways to wellbeing										
3.1 Entry and declining entry	3.1.5	✓	✓	✓	✓	✓	✓	✓	✓	✓
	3.1.6	✓	✓	✓	✓	✓	✓	✓	✓	✓
3.2 My pathway to wellbeing	3.2.3	✓	✓	✓	✓	✓	✓	✓	✓	✓
	3.2.4	✓	✓	✓	✓	✓	✓	✓	✓	✓
	3.2.5	✓	✓	✓	✓	✓	✓	✓	✓	✓
	3.2.6	✓	✓	✓	✓	✓	✓	✓	✓	✓
	3.2.7	✓	✓	✓	✓	✓	✓	✓	✓	✓
3.3 Individualised activities	3.3.3	✓	N/A	✓	✓	✓	Public hospital only	N/A	✓	N/A
	3.3.4	✓	N/A	✓	✓	✓	Public hospital only	N/A	✓	N/A
3.4 My medication	3.4.1	✓	✓	✓	✓	✓	✓	✓	✓	✓
	3.4.2	✓	✓	✓	✓	✓	✓	✓	✓	✓
	3.4.3	✓	✓	✓	✓	✓	✓	✓	✓	✓
	3.4.4	✓	✓	✓	✓	✓	✓	✓	✓	✓
	3.4.5	✓	✓	✓	✓	✓	✓	✓	✓	✓
	3.4.6	✓	✓	✓	✓	✓	✓	✓	✓	✓
	3.4.7	✓	✓	N/A	✓	✓	✓	✓	✓	✓
	3.4.8	✓	✓	✓	✓	✓	✓	✓	✓	✓
	3.4.9	N/A	N/A	✓	✓	✓	✓	✓	✓	✓
	3.4.10	✓	✓	✓	✓	✓	✓	✓	✓	✓
	3.4.11	N/A	✓	N/A	N/A	N/A	✓	✓	✓	✓
	3.4.12	N/A	✓	N/A	N/A	N/A	✓	✓	✓	✓
3.5 Nutrition to support wellbeing	3.5.7	✓	N/A	✓	✓	✓	✓	✓	✓	N/A
3.6 Transition, transfer, and discharge	3.6.4	✓	✓	✓	✓	✓	✓	✓	✓	✓
3.7 Electroconvulsive therapy	3.7.4	N/A	N/A	N/A	N/A	N/A	Public hospital only	N/A	N/A	N/A

	Criterion	Aged residential care services	Assisted reproductive technology services	Home and community support services	Residential disability services	Residential mental health and addiction services	Public and private overnight hospital inpatient services	Birth unit services	Hospice services	Abortion services
3.8 Obtaining and caring for gametes and embryos	3.8.1	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	3.8.2	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	3.8.3	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	3.8.4	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	3.8.5	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	3.8.6	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	3.8.7	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	3.8.8	N/A	✓	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Section 4 Person-centred and safe environment										
4.1 The facility	4.1.1	✓	✓	N/A	✓	✓	✓	✓	✓	✓
	4.1.7	✓	✓	N/A	✓	✓	✓	✓	✓	✓
4.2 Security of people and workforce	4.2.1	✓	✓	N/A	✓	✓	✓	✓	✓	✓
	4.2.6	✓	✓	✓	✓	✓	✓	✓	✓	✓
Section 5 Infection prevention and antimicrobial stewardship										
5.2 The infection prevention programme and implementation	5.2.4	✓	✓	✓	✓	✓	✓	✓	✓	✓
	5.2.12	✓	✓	✓	✓	✓	✓	✓	✓	✓
	5.2.13	✓	✓	✓	✓	✓	✓	✓	✓	✓
5.4 Surveillance of health care associated infection	5.4.3	✓	N/A	N/A	✓	✓	✓	✓	✓	✓
	5.4.5	✓	✓	✓	✓	✓	✓	✓	✓	✓
Section 6 Restraint and seclusion										
6.1 A process of restraint	6.1.1	✓	N/A	N/A	✓	✓	✓	N/A	✓	N/A
	6.1.2	N/A	N/A	N/A	✓	✓	✓	N/A	N/A	N/A
	6.1.3	✓	N/A	N/A	✓	✓	✓	N/A	✓	N/A
	6.1.4	✓	N/A	N/A	✓	✓	✓	N/A	✓	N/A
Total criteria	84	60	72	53	62	65	62 Private hospital/ 65 Public hospital	58	63	57



November 2021
HP 7956