# Radius Residential Care Limited - Radius Waipuna

## Introduction

This report records the results of a Partial Provisional Audit of a provider of aged residential care services against the Health and Disability Services Standards (NZS8134.1:2008; NZS8134.2:2008 and NZS8134.3:2008).

The audit has been conducted by Health and Disability Auditing New Zealand Limited, an auditing agency designated under section 32 of the Health and Disability Services (Safety) Act 2001, for submission to the Ministry of Health.

The abbreviations used in this report are the same as those specified in section 10 of the Health and Disability Services (General) Standards (NZS8134.0:2008).

You can view a full copy of the standards on the Ministry of Health’s website by clicking [here](http://www.health.govt.nz/our-work/regulation-health-and-disability-system/certification-health-care-services/health-and-disability-services-standards).

The specifics of this audit included:

**Legal entity:** Radius Residential Care Limited

**Premises audited:** Radius Waipuna

**Services audited:** Residential disability services - Intellectual; Hospital services - Medical services; Hospital services - Geriatric services (excl. psychogeriatric); Rest home care (excluding dementia care); Residential disability services - Physical; Dementia care; Residential disability services – Sensory

**Dates of audit:** Start date: 28 June 2016 End date: 28 June 2016

**Proposed changes to current services (if any):** The service is completing two new wings which connect to the existing facility. One wing has eight single rooms and one wing has twelve single rooms. Stage three of the project (yet to be commenced) will include a further eight single rooms.

**Total beds occupied across all premises included in the audit on the first day of the audit:** 43

# Executive summary of the audit

## Introduction

This section contains a summary of the auditors’ findings for this audit. The information is grouped into the six outcome areas contained within the Health and Disability Services Standards:

* consumer rights
* organisational management
* continuum of service delivery (the provision of services)
* safe and appropriate environment
* restraint minimisation and safe practice
* infection prevention and control.

## General overview of the audit

Radius Waipuna currently provides rest home, hospital and residential disability (intellectual, physical and sensory) level care for up to 54 residents.

This partial provisional audit was completed to assess two further newly purpose built wings. Both wings attach to the existing building. One wing has eight single rooms and the other twelve. The service intends to open these wings on 14 July 2016. All rooms were assessed as suitable to provide rest home, hospital or residential disability level care. With the current increase in resident rooms the service will be able to provide a total of 74 dual-purpose beds. While the staged development is undertaken residents will be moved between areas so resident numbers will not increase at this time.

The service is managed by a facility manager who is an experienced aged care manager and registered nurse. The facility manager is supported by the clinical manager who has been in the role for two years and the Radius regional manager.

The audit identified the new wings, draft roster and equipment ordered is appropriate for providing rest home, hospital and residential disability level care.

This audit identified improvements required around: completing the building, interior and landscaping, obtaining a certificate for public use and fire service approved evacuation plan and activating the call bell system.

## Consumer rights

Not audited

## Organisational management

The management team are skilled and experienced. There are human resources policies to support recruitment practices. The service has an orientation programme in place that provides new staff with relevant information for safe work practice. The orientation programme includes documented competencies and induction checklists. There is an annual education plan that is outlined on the ‘clinical audit, training and compliance calendar’. This includes all required education as part of these standards. Staffing policies and procedures and the proposed roster are based on the Radius clinical hour’s calculator. Currently the existing staff will cover the new wings while residents are moved between areas. New staff will be employed and complete an orientation process before numbers increase in December 2016.

## Continuum of service delivery

The service medication management system follows recognised standards and guidelines for safe medicine management practice in accordance with the Medicines Care Guide for Residential Aged Care 2011. One of the two current treatment rooms where medications are stored will be transferred initially to the current nurses’ station when the nurses’ station moves to the new wing. The service will continue to implement the current medication system.

There is a large commercial kitchen and all food is cooked on-site. The new wings have a large communal dining area situated between the two wings. This dining area is large enough for the residents in the new wings and mobility equipment.

## Safe and appropriate environment

Documented processes for the management of waste and hazardous substances are in place. Material Safety Data sheets are available. The new wings are not yet fully completed. Planned and reactive maintenance systems are in place and maintenance requests are generated. The new wings are spacious and include a large, open plan lounge/dining area and a large extended activities area. Equipment has been purchased for the new wings. When existing residents move to the new wing all equipment will transfer with them. There are shared ensuite bathrooms (including wet area showers) shared between each two rooms. There are communal toilets near the lounge areas in the existing wing. Laundry will be continued to be completed by a contractor off-site. Appropriate training, information and equipment for responding to emergencies are provided. Fire evacuations are held six monthly. There is a civil defence and emergency plan in place. The call bell system is installed in all areas with indicator panels in each area. There are staff across 24/7 with a current first aid certificate.

## Restraint minimisation and safe practice

Click here to enter text

## Infection prevention and control

The infection control (IC) programme and its content and detail, is appropriate for the size, complexity and degree of risk associated with the service. The responsibility for infection prevention control is clearly defined and there are lines of accountability for infection prevention control matters in the organisation leading to the leadership team. The programme is reviewed annually at an organisational level. The facility has access to professional advice from the GP, the DHB and from within the organisation. There is a process for early consultation and feedback to the infection prevention and control team. Infection surveillance forms are being implemented in line with company policy. There are guidelines and staff health policies for staff to follow ensuring prevention of the spread of infection. Infection control matters are included in the monthly quality meeting and also discussed at both the RN and staff meetings

## Summary of attainment

The following table summarises the number of standards and criteria audited and the ratings they were awarded.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Attainment Rating** | **Continuous Improvement**  **(CI)** | **Fully Attained**  **(FA)** | **Partially Attained Negligible Risk**  **(PA Negligible)** | **Partially Attained Low Risk**  **(PA Low)** | **Partially Attained Moderate Risk**  **(PA Moderate)** | **Partially Attained High Risk**  **(PA High)** | **Partially Attained Critical Risk**  **(PA Critical)** |
| **Standards** | 0 | 13 | 0 | 2 | 0 | 0 | 0 |
| **Criteria** | 0 | 31 | 0 | 4 | 0 | 0 | 0 |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Attainment Rating** | **Unattained Negligible Risk**  **(UA Negligible)** | **Unattained Low Risk**  **(UA Low)** | **Unattained Moderate Risk**  **(UA Moderate)** | **Unattained High Risk**  **(UA High)** | **Unattained Critical Risk**  **(UA Critical)** |
| **Standards** | 0 | 0 | 0 | 0 | 0 |
| **Criteria** | 0 | 0 | 0 | 0 | 0 |

# Attainment against the Health and Disability Services Standards

The following table contains the results of all the standards assessed by the auditors at this audit. Depending on the services they provide, not all standards are relevant to all providers and not all standards are assessed at every audit.

Please note that Standard 1.3.3: Service Provision Requirements has been removed from this report, as it includes information specific to the healthcare of individual residents. Any corrective actions required relating to this standard, as a result of this audit, are retained and displayed in the next section.

For more information on the standards, please click [here](http://www.health.govt.nz/our-work/regulation-health-and-disability-system/certification-health-care-services/health-and-disability-services-standards).

For more information on the different types of audits and what they cover please click [here](http://www.health.govt.nz/your-health/services-and-support/health-care-services/services-older-people/rest-home-certification-and-audits).

|  |  |  |
| --- | --- | --- |
| **Standard with desired outcome** | **Attainment Rating** | **Audit Evidence** |
| Standard 1.2.1: Governance  The governing body of the organisation ensures services are planned, coordinated, and appropriate to the needs of consumers. | FA | Radius Waipuna currently provides rest home, hospital and residential disability (intellectual, sensory and physical) level care for up to 54 residents. As part of the staged development, two further wings have been built. Each wing (one has eight rooms and one wing has 12 single rooms) is identified as dual-purpose. All 20 rooms were assessed as part of this partial provisional audit as suitable to provide rest home, hospital and residential disability level care. The new wings are connected to the current building. With the increase in resident rooms the service will be able to provide care for a total of 74 residents. The service plans to open the new wings on 14 July 2016.  It is the intention of the service to complete a refurbishment of the current building as part of this staged development. Therefore, current residents will move into the new wings so that refurbishment can commence on their rooms. Residents will move with their current furniture and equipment.  The final stage of the project will include completing the refurbishment and building a further eight rooms within the foot print of the current building (December 2016 to January 2017).  There is a current risk management plan, a pandemic health plan and a current business plan that includes the development of the new building and the plans around increasing occupancy in these including staffing requirements around this. The business plan for Radius Waipuna includes business goals.  The facility manager is a registered nurse with aged care management experience and has been in the role since July 2014. She is supported by a clinical manager who has been in the role for two years and the Radius regional manager.  The facility manager has maintained at least eight hours annually of professional development activities related to managing a hospital. |
| Standard 1.2.2: Service Management  The organisation ensures the day-to-day operation of the service is managed in an efficient and effective manner which ensures the provision of timely, appropriate, and safe services to consumers. | FA | The facility manager and regional manager reported that in the absence of the facility manager, the clinical manager will fulfil the role with support from the regional manager, administrator and care staff. |
| Standard 1.2.7: Human Resource Management  Human resource management processes are conducted in accordance with good employment practice and meet the requirements of legislation. | FA | There are human resources policies to support recruitment practices. A list of practising certificates is maintained. The service has an orientation programme in place that provides new staff with relevant information for safe work practice. The orientation programme includes documented competencies and induction checklists. There is an annual education plan that is documented. This includes all required education as part of these standards. The plan is being implemented. A competency programme is in place with different requirements according to work type (e.g. caregiver, registered nurse, and kitchen). Core competencies are completed and a record of completion is maintained on staff files (sighted). The facility manager and clinical manager facilitate the orientation programme for new staff and support the on-going education programme. There is a first aider on each shift.  The new wings will initially be staffed by staff currently employed at the service as resident numbers are not increasing at this stage (due to decanting of residents). Additional staff will be employed and complete the Radius orientation prior to resident numbers increasing in late 2016. Three caregivers, two registered nurses (one the clinical manager), the activities coordinator, a cook and a household staff member files were sampled and all demonstrated appropriate employment practices and completion of orientation, on-going training and competencies related to the role. Files sampled contained current performance appraisals. |
| Standard 1.2.8: Service Provider Availability  Consumers receive timely, appropriate, and safe service from suitably qualified/skilled and/or experienced service providers. | FA | There is a staffing procedure, which describes staffing and is based on the Radius clinical hour’s calculator. Initially actual staffing numbers will not increase as resident numbers are not increasing. When the resident numbers increase a team leader (registered nurse) position will be created with that RN working 0800 hours until 1630 hours daily and each wing (one for eight residents and one for twelve) will have and additional: two HCA’s from 0700 until1500, one from 0700 until 1330, two from 1500 until 2300, one from 1600 until 2000 and one HCA will work across the two wings at night. Activities hours will be increased by one extra person and housekeeping hours will increase by 2.5 hours daily. There are clear guidelines for increase in staffing depending on acuity of residents. There is 24-hour RN cover in the facility which includes at least one RN each shift. A nurse manager is also rostered on a morning shift. The roster considers the building design and there is a nurses’ station in each new wing. |
| Standard 1.3.12: Medicine Management  Consumers receive medicines in a safe and timely manner that complies with current legislative requirements and safe practice guidelines. | FA | The service medication management system follows recognised standards and guidelines for safe medicine management practice in accordance with the Medicines Care Guide for Residential Aged Care 2011. There are currently two locked medication rooms in the current building and these will service the new wings.  The facility uses robotic sachets for regular and blister packs for ‘as required’ medication delivered by the supplying pharmacy. Medications are checked against the signing sheets on arrival at the facility. Any discrepancies are fed back to the pharmacy.  All medications are kept in a locked trolley and cupboards in the treatment rooms. The medication fridge temperatures are recorded daily. A stock of hospital medications is kept in one treatment room.  All RNs that administer medication are competent and have received medication management training.  Sixteen resident medication charts sampled included photographs and allergy status. The prescribing of regular and ‘as required’ medications meets legislative requirements. |
| Standard 1.3.13: Nutrition, Safe Food, And Fluid Management  A consumer's individual food, fluids and nutritional needs are met where this service is a component of service delivery. | FA | There is a large commercial kitchen and all food is cooked on-site. There is a comprehensive kitchen manual in place. There are two cooks (one during the week and one in the weekends). They are supported by kitchen hands. There is a seasonal menu in place. The company dietitian has reviewed the menu. The cook receives a dietary profile for each resident with dietary requirements, special diets, food allergies, likes and dislikes. Alternatives are offered. The cook is notified of any dietary changes for the residents. Food is plated in the kitchen and transported in hotboxes to the dining rooms. This will continue for the new dining area and additional hot boxes have been purchased. The kitchen is able to cater to the eventual increase in residents. The new dining area has a kitchenette. The new dining area is large enough for residents and mobility equipment. Special diets are plated and labelled. The fridge and freezer have visual temperatures which are recorded daily. The facility fridges temperatures are monitored (records sighted). Temperature of food on delivery is recorded.  Feedback on the service and meals is by direct verbal feedback, as an agenda item at residents and family meetings and within resident’s satisfaction survey.  Staff working in the kitchen have food handling certificates and receive on-going training. |
| Standard 1.4.1: Management Of Waste And Hazardous Substances  Consumers, visitors, and service providers are protected from harm as a result of exposure to waste, infectious or hazardous substances, generated during service delivery. | FA | Documented processes for the management of waste and hazardous substances are in place. Material Safety Data sheets are available. Designated cleaners’ cupboards are in the existing building. There is protective clothing and equipment that is appropriate to the recognized risks associated with the waste or hazardous substance being handled, for example: goggles/visors, gloves, aprons, footwear and masks. Hazardous substances are correctly labelled. There are two sluice rooms with sanitisers in the existing building near the new wings. |
| Standard 1.4.2: Facility Specifications  Consumers are provided with an appropriate, accessible physical environment and facilities that are fit for their purpose. | PA Low | The new wings and external areas are not yet fully completed. A certificate of public use has not yet been issued and hot water has not yet been turned on and monitored. Planned and reactive maintenance systems are in place. All hoists have been serviced, electrical equipment tested and tagged and medical equipment calibrated. There is a lift between the ground floor and the first floor. Equipment has been purchased for the new wing but apart from hot boxes will not be delivered until resident numbers increase in December 2016/January 2017. Until that time, existing residents will use the new wings as the rest of the building is altered and refurbished in a staged manner and current equipment will move to the new wings with the residents. The maintenance person for Radius Waipuna is employed full-time and is available on call. Preferred contractors are available 24/7. There is adequate and safe storage of medical equipment. Corridors are wide enough to allow residents to pass each other safely with access to communal areas and outdoor areas. |
| Standard 1.4.3: Toilet, Shower, And Bathing Facilities  Consumers are provided with adequate toilet/shower/bathing facilities. Consumers are assured privacy when attending to personal hygiene requirements or receiving assistance with personal hygiene requirements. | FA | There is a large ensuite with a wet area shower shared between each two rooms in the new wings. There are communal toilets in an existing corridor near the new lounge area. The fixtures, fittings, floors and wall surfaces are constructed from materials that can be easily cleaned (link 1.4.2.1.) Communal toilet facilities have a system that indicates if it is engaged or vacant. |
| Standard 1.4.4: Personal Space/Bed Areas  Consumers are provided with adequate personal space/bed areas appropriate to the consumer group and setting. | FA | All rooms are spacious with shared ensuites. There is adequate room to safely manoeuvre mobility aids. The doors are wide enough for bed transfer. Residents and families are encouraged to personalise their rooms which are furnished by the resident. |
| Standard 1.4.5: Communal Areas For Entertainment, Recreation, And Dining  Consumers are provided with safe, adequate, age appropriate, and accessible areas to meet their relaxation, activity, and dining needs. | FA | There is an open plan communal lounge and dining room in the new wings and a large extended activities area. These are situated between the two new wings. |
| Standard 1.4.6: Cleaning And Laundry Services  Consumers are provided with safe and hygienic cleaning and laundry services appropriate to the setting in which the service is being provided. | FA | There are adequate policies and procedures to provide guidelines regarding the safe and efficient use of laundry and cleaning services. All laundry is completed off-site. There are covered linen trolleys available to be used by the caregivers. There are dedicated cleaners currently. Staff were observed wearing protective clothing while carrying out their duties. Cleaning trolleys are to be kept in designated cupboards and the existing cleaning cupboards will continue to be used. |
| Standard 1.4.7: Essential, Emergency, And Security Systems  Consumers receive an appropriate and timely response during emergency and security situations. | PA Low | Appropriate training, information and equipment for responding to emergencies is provided. There is not yet an approved evacuation plan. Fire evacuations are held six monthly. Civil defence and emergency training was provided in 2016. There is staff at the facility across 24/7 with a current first aid certificate. There is a civil defence and emergency plan in place. The facility is well prepared for civil emergencies and has emergency lighting, a store of emergency water (which has been increased to cater for the expected additional residents) and a gas BBQ for alternative heating and cooking. Emergency food supplies sufficient for three days are kept in the kitchen. There is a store cupboard of supplies necessary to manage a pandemic. The call bell system is available in all areas with indicator panels in each area. However, this was not yet connected. There are emergency management plans in place to ensure health, civil defence and other emergencies |
| Standard 1.4.8: Natural Light, Ventilation, And Heating  Consumers are provided with adequate natural light, safe ventilation, and an environment that is maintained at a safe and comfortable temperature. | FA | All rooms have large external windows with ample natural light and rooms on the ground floor have a door that opens to the outside area. Heating is a mix of panel heating and ceiling heating. |
| Standard 3.1: Infection control management  There is a managed environment, which minimises the risk of infection to consumers, service providers, and visitors. This shall be appropriate to the size and scope of the service. | FA | The infection control (IC) programme and its content and detail, is appropriate for the size, complexity and degree of risk associated with the service. The responsibility for infection prevention control is clearly defined and there are lines of accountability for infection prevention control matters in the organisation leading to the leadership team, executive team and the board. The programme is reviewed annually. The facility has access to professional advice from the GP team and from within the organisation. There is a process for early consultation and feedback to the infection prevention and control team. Infection surveillance forms are being implemented in line with company policy. There are guidelines and staff health policies for staff to follow ensuring prevention of the spread of infection. Infection control matters are included in the monthly quality meeting and also discussed at both the RN and staff meetings. |

# Specific results for criterion where corrective actions are required

Where a standard is rated partially attained (PA) or unattained (UA) specific corrective actions are recorded under the relevant criteria for the standard. The following table contains the criterion where corrective actions have been recorded.

Criterion can be linked to the relevant standard by looking at the code. For example, a Criterion 1.1.1.1: Service providers demonstrate knowledge and understanding of consumer rights and obligations, and incorporate them as part of their everyday practice relates to Standard 1.1.1: Consumer Rights During Service Delivery in Outcome 1.1: Consumer Rights.

If there is a message “no data to display” instead of a table, then no corrective actions were required as a result of this audit.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Criterion with desired outcome** | **Attainment Rating** | **Audit Evidence** | **Audit Finding** | **Corrective action required and timeframe for completion (days)** |
| Criterion 1.4.2.1  All buildings, plant, and equipment comply with legislation. | PA Low | The new wings are to be handed over for use by the facility on 14 July 2016. Carpets and window furnishings, installation of handrails and painting are currently being completed. Outdoor areas are planned with some paths installed but not landscaped. Hot water is installed but not yet turned on.  One of the two hoists was serviced in the past year when a fault was repaired. | (i) The building is not yet completed, so painting, floor and window coverings, installation of privacy locks on shared ensuites and installation of handrails has not yet occurred in all areas.  (ii) Hot water has not yet been turned on so temperature requirements have not been tested.  (iii) A certificate for public use has not yet been issued. | (i) Ensure the building is completed and the interior finished including installation of handrails and privacy locks.  (ii) Ensure hot water is turned on and the temperature monitored to ensure it is within the safe range.  (iii) Provide evidence of a certificate of public use.  Prior to occupancy days |
| Criterion 1.4.2.6  Consumers are provided with safe and accessible external areas that meet their needs. | PA Low | The plan for the finished complex includes landscaped areas around the new building. Paths have been developed but not all areas have been completed. | External areas and landscaping have not yet been completed. | Ensure safe external areas are completed.  Prior to occupancy days |
| Criterion 1.4.7.3  Where required by legislation there is an approved evacuation plan. | PA Low | There was a New Zealand Fire Service approved evacuation scheme for the existing building but the updated scheme to incorporate the new wings had not yet been approved. | The New Zealand Fire Service had not yet approved the evacuation scheme that includes the new wings. | Provide evidence of an updated New Zealand Fire Service approved evacuation scheme.  Prior to occupancy days |
| Criterion 1.4.7.5  An appropriate 'call system' is available to summon assistance when required. | PA Low | Call bells are installed in the lounge/dining areas, bedrooms and ensuites. The call bells activate to a central panel. The call bell system had not yet been activated. | The call bell system in the new wings was not yet operational. | Ensure all call bells are operational.  Prior to occupancy days |

# Specific results for criterion where a continuous improvement has been recorded

As well as whole standards, individual criterion within a standard can also be rated as having a continuous improvement. A continuous improvement means that the provider can demonstrate achievement beyond the level required for full attainment. The following table contains the criterion where the provider has been rated as having made corrective actions have been recorded.

As above, criterion can be linked to the relevant standard by looking at the code. For example, a Criterion 1.1.1.1 relates to Standard 1.1.1: Consumer Rights during Service Delivery in Outcome 1.1: Consumer Rights

If, instead of a table, these is a message “no data to display” then no continuous improvements were recorded as part of this of this audit.

|  |
| --- |
| No data to display |

End of the report.